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| (21) International Application Number: PCT/US98/10719 (22) International Filing Date: 22 May 1998 (22.05.98) (30) Priority Data: 60/047,472 23 May 1997 (23.05.97) US (71) Applicants (for all designated States except US): BIOARRAY SOLUTIONS LLC [US/US]; Old Hoes Lane, Piscataway, NJ 08854 (US). RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY [US/US]; Old Queens, New Brunswick, NJ 08903 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): SEUL, Michael [DE/US]; 84 Pleasant Place, Fanwood, NJ 07023 (US). EBRIGHT, Richard, H. [US/US]; North Brunswick, NJ (US). (74) Agents: JORAN, A., David et al.; Darby & Darby P.C., 805 Third Avenue, New York, NY 10022-7513 (US). | | (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> |
| (54) Title: COLOR-ENCODING AND IN-SITU INTERROGATION OF MATRIX-COUPLED CHEMICAL COMPOUNDS | | |
| (57) Abstract A method and apparatus for the physico-chemical encoding of a collection of beaded resin ("beads") to determine the chemical identity of bead-anchored compounds by in-situ interrogation of individual beads. The present invention provides method and apparatus to implement color-coding strategies in applications and including the ultrahigh-throughput screening of bead-based combinatorial compounds libraries as well as multiplexed diagnostic and environmental testing and other biochemical assays. | | |

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**Color-Encoding and In-situ Interrogation of
Matrix-Coupled Chemical Compounds**

Field of the Invention

The present invention generally relates to the field of analytical chemistry.

The present invention specifically relates to a highly parallel mode of presenting and
10 probing multiple chemical compounds, with applications to combinatorial library synthesis,
ultrahigh-throughput screening, diagnostic assays for multiple agents and sensors. The
present invention introduces several color codes to label collections of carrier particles such
as colloidal beads; in addition, the present invention describes a method and apparatus for the
in-situ interrogation of beads or collections of beads by way of multi-color fluorescence
15 imaging and spectral analysis of individual beads to ascertain the chemical identities of bead-
anchored compounds. The encoding of beads by simple and extended simple color codes and
by binary and extended binary color codes may be augmented by measuring bead size and
shape or other physico-chemical properties such as polarizability embedded in the bead core.

20 Background of the Invention

1-Solid Phase Chemical Libraries

An emerging paradigm for lead discovery in pharmaceutical and related industries
such as agricultural biotechnology, is the assembly of novel synthetic compound libraries by
new methods of solid state "combinatorial" synthesis. Combinatorial chemistry refers to a set
25 of strategies for the parallel synthesis and testing of multiple compounds or compounds
mixtures, either in solution or in solid supports in the form of beaded resins ("beads"). In
general, a combinatorial synthesis employing M precursors in each of N reaction steps

produces M^N compounds. For example, a combinatorial synthesis produces 4^N oligonucleotides in N steps, each employing 4 oligonucleotide precursors; similarly, a combinatorial synthesis of N steps, each employing 20 amino acid precursors, produces 20^N oligopeptides.

5

1.1 - One Bead/One Compound Chemical Libraries

One implementation of combinatorial synthesis that is suitable to produce very large chemical libraries relies on solid supports in the form of beaded resins ("beads") and encodes reaction steps in a "divide, couple and recombine" (DCR) strategy (Fig. 1), also referred to as "resin-splitting" synthesis. The resulting "one bead/one compound" chemical libraries contain from 10^6 to 10^8 compounds. These libraries are screened by performing a wide variety of chemical and biochemical assays to identify individual compounds eliciting a positive response. The chemical identity of such compounds can be determined by direct analysis.

Two methods of direct analysis are micro-sequencing and mass spectrometry. Both methods require the physical isolation of synthesis beads displaying compounds of interest and both require off-line chemical analysis based on substantial amounts of compound - tens to hundreds of picomoles. Micro-sequencing, limited to libraries of oligopeptides and oligonucleotides, does not distinguish between stereoisomers. Mass spectrometry is unable to distinguish between precursors of equal mass such as D- and L-amino acids or leucine and isoleucine. The requirement of direct chemical analysis for a substantial quantity of compound dictates the use of large bead resins (a typical bead diameter is $130\mu\text{m}$) to ensure that picomolar quantities of each compound can be recovered, even when it is becoming increasingly desirable to perform high throughput screening of the compound library in miniaturized environments to reduce requisite volumes of sample and reagents and to enhance throughput.

1.2 - Encoded One Bead/One Component Chemical Libraries

One approach to overcoming the serious limitations of standard one bead/one compound chemical libraries is to encode chemical compound identities. This facilitates the identification of compounds not amenable to direct determination by micro-sequencing or mass spectrometry. One encoding method employs the co-synthesis of peptides and

oligonucleotides to represent the identity of non-sequenceable synthesis products (Nikolaiev et al., "Peptide-Encoding for Structure Determination of Non-Sequenceable Polymers Within Libraries Synthesized and Tested on Solid-Phase Supports", *Peptides Res.* 6, 161 (1993), the contents of which are included herein by reference). A second method, compatible with a wider range of chemical reaction conditions, employs a set of tagging molecules to record the reaction histories of beads.

One implementation of the latter method uses a set of pre-synthesized, chromatographically distinguishable molecular tags T1, T2,..., T_M to construct a chemical binary code. In prior art, molecular tags are structurally related molecules (Fig. 2) which can be identified by their characteristic gas chromatographic retention times (Still et al., "Complex combinatorial libraries encoded with tags", U.S. Patent No. 5,565,324, the contents of which are included herein by reference).

At each step of DCR synthesis, a unique tag from the set is added to each divided aliquot to record the reaction carried out with that aliquot. The concept may be illustrated by examining the steps of a 2-step synthesis using reagents R₁¹, R₂¹ and R₃¹ in step 1, and reagents R₁², R₂² and R₃² in step 2, to generate nine products. The reagents of the first step are uniquely identified by the binary addresses 01 (R₁¹), 10 (R₂¹) and 11 (R₃¹), and the reagents of the second step are uniquely identified by the binary addresses 01 (R₁²), 10 (R₂²) and 11 (R₃²). Each binary address is chemically represented in terms of a set of molecular tags: T1 (01 in step 1 representing R₁¹), T2 (10 in step 1 representing R₂¹) and T2T1 (11 in step 1 representing R₃¹) and analogously with T3 (01 in step 2 representing R₁²), T4 (10 in step 2 representing R₂²) and T4T3 (11 in step 2 representing R₃²).

A sequence of reaction steps is recorded by simply concatenating binary addresses. Thus, 11.01, read right to left, would indicate the sequence "reagent R₃² in step 2, reagent R₁¹ in step 1". The chemical representation of this sequence is T4T3.T1, and the presence on the bead of this particular set of tags indicates the chemical identity of the bead-anchored synthesis product. The strategy is readily generalized to larger reactions. For example, 7 reagents to be used in each reaction step can be uniquely identified by the binary addresses 001 (R₁¹), 010 (R₂¹), ..., 111 (R₇¹). Although superior to un-encoded one bead/one compound methods, nevertheless the tagging strategy of prior art still suffer from three limitations. First, individual beads of interest must be physically isolated from the rest; next, molecular tags

must be chemically or photochemically cleaved from the bead and cleaved tags must be collected; and finally, chemical analysis (e.g., gas chromatography) must be performed. These numerous time- and labor-intensive manipulations eliminate much of the enhancement in throughput gained by the DCR synthesis strategy.

5

1.3 Screening and Lead Compound Optimization

The high specificity of typical biological substrate-target interactions implies that the vast majority of compounds in a library will be inactive for any particular target. Thus, the task of screening is to identify the very few compounds within the library that display activity
10 in binding or in functional assays. Common targets include enzymes and receptors as well as nucleic acids.

To implement the rapid screening and scoring of an entire library of synthetic compounds, in practice containing 10^4 to 10^8 compounds, requires systematic screening procedures if the task is to be completed within viable time frames. Several assay formats
15 have been described to implement the screening of bead-based combinatorial libraries. These include: reaction of a collection of beads, allowed to settle under gravity, with an enzyme-labeled or fluorophore-labeled target molecule followed by visual detection (Lam et al., "A new type of synthetic peptide library for identifying ligand-binding activity", *Nature* 354 (1991), the contents of which are included herein by reference); incubation of beads with
20 radio-labeled target molecules and subsequent agarose immobilization of beads and autoradiographic detection (Kassarjian, Schellenberger and Turck, "Screening of Synthetic Peptide Libraries with Radio-labeled Acceptor Molecules", *Peptide Res.* 6, 129 (1993), the contents of which are included herein by reference); and partial release of compounds from beads for solution-phase testing (Salmon et al., "Discovery of biologically active peptides in random
25 libraries: Solution-phase testing after staged orthogonal release from resin beads", *Proc. Natl. Acad. Sc. USA* 90, 11708 (1993), the contents of which are included herein by reference).

WO95/32425 provides a method of preparing combinatorial libraries using a method of encoding combinatorial libraries with fluorophore labeled beads. According to the method, a first combinatorial library is prepared by conducting a set of reactions on tagged beads to
30 afford an encoded first registry (i.e., step in the synthetic sequence). A second combinatorial library is prepared using similar reaction steps but the tagged beads are combined and

separated prior to the first reaction sequence and the beads are sorted prior to the second reaction sequence. Subsequent libraries are prepared as for the second library except that the sorting step takes place prior to a different registry in each subsequent library. Thus, WO95/32425 teaches only individually labelling the first step and physical separation of beads
5 to identify each modified combinational library.

Nederlof et al., *Cytometry*, 13, 839-845 (1992), teaches the use of ratio labeling as a way of increasing the number of simultaneously detectable probes beyond the seven used previously. In this approach, ratio-labelled probes are identified on the basis of the ratio of color intensity, not just the particular colors used. Fluorescence ratios are measured and used
10 as additional encoding colors. The method requires double-labeling of probes using different ratios of labels. The method is not specifically directed to synthetic combinational libraries. Accordingly, the field of Nederlof's method is the detection of multiple DNA/RNA sequence by in situ hybridization, and is not relevant to the field of encoding of synthetic chemical libraries.

Speiche, Ballard & Ward, *Nature Genetics*, 12, 368 (1996), describe a method of characterizing complex chromosomal karyo types using multi-fluorescence in situ hybridization. Instead of using ratio-double labelling as in Nederlof, Speiche et al. use a set of six fluorescent dyes with spectral emission peaks spread across the photometric response range to visualize 27 combinationally labelled probes. Speiche et al. do not disclose a method
20 of encoding synthetic combinational libraries.

Still et al., *Proc. Nat'l Acad. Sci.*, 90, 10922-926 (1993), disclose a method of synthesis of tagged combinational libraries using a binary code based on different electrophoretic tags. The method requires use of photocleavable molecular tags which comprise variously substituted aryl moieties linked via a variable-length aliphatic hydrocarbon chain,
25 whereby the tags when cleaved are distinctly resolvable by capillary gas chromatography with electrochemical detection. Color detection is not used in this method. The method also requires cleavage from the solid support in order to analyze the sequence. In related work, Still et al. U.S. 5,721,099 disclose methods of preparing encoded combinatorial libraries, but again the method requires cleavage of the identifier tags prior to analysis of the encoded
30 reaction history. In contrast, the present invention provides an in situ approach to the interrogation of encoded combinatorial libraries, and represents an advance over the prior

methods of encoding libraries. The success of the present invention is unexpected in view of the prior approaches because of the scattering phenomena expected for a spectral analysis performed in heterogeneous media which would dissipate spectral signal-to-noise giving rise to practical difficulties in detecting accurately relative abundance information for fluorophore tags. The present methodology demonstrates for the first time a way of solving these practical problems in performing in situ encoding and interrogation of combinatorial libraries .

II - Multi-Agent Monitoring and Diagnostics

Diagnostic panels display multiple chemistries to screen unknown solutions for the presence of multiple agents. For example, blood group specificity is determined by spotting an unknown blood sample onto a panel of surface-bound antibodies whose arrangement in the panel reflects their antigen-specificity. Antigen-binding to any specific patch in the panel reveals the chemical identify of the antigen and enhance the blood type. Another realization of the same concept of displaying multiple diagnostic probes in a spatially encoded panel or array involves screening of mutations by assaying for hybridization of DNA to one of a large number of candidate matching strands which are placed in known positions on a planar substrate in a checkerboard pattern. This may be achieved by dispensing droplets containing distinct probes, or may involve the in-situ synthesis of oligonucleotide strands of varying composition.

Spatial encoding relies on the panel or array fabrication process to preserve chemical identity, adding time and expense. As the number of fields in the checkerboard increases, so does the challenge of fabricating the requisite array. In addition, probes must be immobilized - usually by adhesion to the surface of a planar substrate - to maintain the integrity of the spatial encoding scheme. In practice, this assay format can be problematic: sample accumulation can be slow and probe accessibility restricted.

III - Current Applications of Multicolor Fluorescence Detection

The present invention describes a method and apparatus for in-situ interrogation and deconvolution of bead-based combinatorial libraries using multi-color fluorescence imaging and spectral analysis. Recent applications of multi-color fluorescence spectroscopy to DNA sequencing and chromosome painting place requirements on sensitivity and wavelength

selectivity exceeding those encountered in conventional applications such as determinations of fluorescence intensity ratios.

Within the context of DNA sequencing, a variety of configurations for rapid detection of 4-color fluorescence have been described. These involve: a dedicated photomultiplier tube
5 detector for each emission wavelength, with corresponding sets of beam splitters in the optical path to produce spatially separated beams; a single detector and rotating filterwheel to select the desired set of wavelengths in a multiplexed recording mode; or a dispersive arrangement that relies on a prism or grating to split the emitted light from multiple fluorophores according to wavelength and takes advantage of recent advances in charge-coupled device (CCD)
10 technology to record spectra on an integrating linear or rectangular CCD array (Karger et al., "Multiwavelength fluorescence detection for DNA sequencing using capillary electrophoresis", Nucl. Acids Res. 19, 4955 (1991), the contents of which are incorporated herein by reference).

15 **Summary of the Invention**

The present invention provides a method to construct several color codes for the purpose of uniquely labeling members of a group of beads or equivalent objects ("beads") to preserve the chemical identity of the beads and thus the identity of bead-coupled chemical compounds. These color codes are based on a set of encoding fluorophores of distinguishable
20 wavelengths, excited-state lifetimes and levels of intensity, the latter controlled by adjusting the abundances of dyes. Specifically, the present invention describes a method and apparatus for the encoding and in-situ interrogation of a set of distinct, bead-based chemistries.

Binary and extended binary color codes offer large coding capacity and represent a general strategy to encode multi-step reaction histories such as those encountered in divide-
25 couple-recombine (DCR) synthesis strategies for combinatorial chemical libraries, as illustrated and discussed herein.

Simple and extended simple color codes offer an efficient strategy to encode a smaller set of distinct chemistries that are typical of panels displaying multiple targets or probes in biochemical assays including multi-agent diagnostic and environmental tests and other
30 biochemical assays.

All color codes can be augmented by varying distinguishable features of beads such as shape and size or other suitable physico-chemical parameter associated with bead cores such as polarizability.

The identity of the compound anchored to any specific bead is determined in-situ by optically probing individual beads to read the color code, as described herein. This ensures the identification of bead-anchored chemical compounds without the need for physical separation and without the need for off-line chemical analysis.

The encoding strategy of the present invention is compatible with all formats of bead-based combinatorial synthesis and screening described to date. A preferred implementation that has the advantage of enabling miniaturization and automation of screening and decoding operations relies on planar bead arrays which may be formed, maintained and manipulated adjacent to a planar electrode surface.

Brief Description of the Drawings

Other objects, features and advantages of the invention discussed in the above brief explanation will be more clearly understood when taken together with the following detailed description of an embodiment which will be understood as being illustrative only, and the accompanying drawings reflecting aspects of that embodiment, in which:

Fig. 1 is an illustration of "Divide-Couple-Recombine" combinatorial synthesis;

Fig. 2 is an illustration of labeling individual synthesis beads with chemical tags ("bar codes"). Examples of molecular structures used for such tags are also shown: different tags are made by varying n and Ar ;

Fig. 3 is an illustration of two alternative methods of placing fluorophore or chromophore tags (F) on synthesis beads;

Fig. 4 is an illustration of binary color coding with fluorophores, Y, B, G and R. The example enumerate coded bead populations produced in combinatorial peptide synthesis employing reagents R^1_1, R^1_2, R^1_3 and R^1_4 in step 1 and reagents R^2_1, R^2_2, R^2_3 and R^2_4 in step 2 (see also: Table I);

Fig. 5 is an illustration of emission spectra of the CyDye family of commercially available fluorescent dyes whose spectral characteristics are summarized in the table

accompanying the figure (Amersham LIFE SCIENCE, Catalog of Multicolor Fluorescent Reagents, 1995, the contents of which are included herein by reference);

Fig. 6 is an illustration of a random bead array encoded according to the simple color code SCC($l=1$, $m=5$);

5 Fig. 7 is an illustration of a multi-color fluorescence microscope with integrated spectral analysis based on dispersive optics;

Fig. 8 is an illustration of several geometries of multi-color fluorescence imaging and spectrometry.

10 Fig. 9 is an illustration of an example of a solid support having a hydroxy functional group at its surface which is modified by a linker which is formed in a multistep process involving a deprotection of an Mmt protecting group and subsequent reaction with an activated ester of a fluorescent dye in accord with the present invention.

Detailed Description of the Preferred Embodiment

15 *Implementation of Color Codes*

The color coding strategy of the present invention provides a method to place a set of fluorophores - or, more generally, chromophores - on each bead so as to uniquely encode the chemical identity of the compound on that bead. Specifically, during each coupling step in the course of DCR combinatorial synthesis, one or more fluorophores are attached to each
20 bead. Decoding is based on the determination of relative abundances of fluorophores on a bead of interest by in-situ optical interrogation.

Fluorophores can be added in two ways. In the first method, the fluorophore is added directly to a small fraction of the nascent compound, thereby terminating further synthesis of that fraction of nascent compound (Fig. 3A). In the second method, the label is covalently
25 attached to reserved reaction sites other than nascent compound to ensure that precursors are not terminated by labeling (Fig. 3B). In the first method and in most implementations of the second method, the quantity, x , of fluorophore added to each bead is sub-stoichiometric with respect to nascent compound, with x typically in the range 0.001 to 0.1 mole equivalents of nascent compound on the bead. Three factors govern the choice of x . First, the density of
30 tags on beads must not materially interfere with synthesis and with subsequent screening assays. Second, the density of tags on beads must remain sufficiently low as to avoid

complication due to fluorescence energy transfer. Third, labeled sites must be present in sufficient number to meet the requirements of signal detection and discrimination, as discussed herein.

To implement the color coding strategy, the present invention takes advantage of three properties of fluorophores to construct an alphabet of fluorophore tags, namely: emission wavelength; excited-state lifetime; and emission intensity. Denoting by m_F the number of available fluorophores with distinguishable emission maxima and/or excited state lifetimes, and denoting by m_I the number of distinguishable intensity levels, controlled by adjusting relative quantities of fluorophores (e.g. x, 2x, 3x...), the size of the alphabet of fluorophore tags is $m = m_F \cdot m_I$. The surfaces of labeled beads will display a multiplicity of distinct fluorophores (see Fig. 4). In-situ optical interrogation of these multi-colored beads serves to record emission spectra from which relative abundances of fluorophores are determined to decipher the color code, as discussed and illustrated herein.

Binary Color Codes

One rendition of this code is a binary color code (BCC) using m_F fluorophores, all with $m_I=1$. This BCC will encode up to 2^{m_F} distinct compounds. In this BCC, the m_F fluorophores could differ in excite-state lifetimes, emission maxima or both. For convenience, the following specific example uses fluorophores differing solely in their emission maxima ("colors"). The combinatorial synthesis of 16 products in two reaction steps, each using a set of $N=4$ reagents, would be encoded as follows:

Table I

| | | | | |
|----------------------|-------------|-------------------|----------------------|--------------------------|
| Step 1: R^1_1 (00) | No color | R^1_2 (01) Red | R^1_3 (10) Green | R^1_4 (11) Red+Green |
| Step 2: R^2_1 (00) | No color | R^2_2 (01) Blue | R^2_3 (10) Yellow | R^2_4 (11) Yellow+Blue |
| R^2_1, R^1_1 | 00.00 NN.NN | no color | R^2_3, R^1_1 10.00 | YN.NN Y |
| R^2_1, R^1_2 | 00.01 NN.NR | R | R^2_3, R^1_2 10.01 | YN.NR YR |
| R^2_1, R^1_3 | 00.10 NN.GN | G | R^2_3, R^1_3 10.10 | YN.GN YG |
| R^2_1, R^1_4 | 00.11 NN.GR | GR | R^2_3, R^1_4 10.11 | YN.GR YGR |
| R^2_2, R^1_1 | 01.00 NB.NN | B | R^2_4, R^1_1 11.00 | YB.NN YB |

| | | | | | | |
|----------------|-------------|-----|----------------|-------|-------|------|
| R^2_2, R^1_2 | 01.01 NB.NR | BR | R^2_4, R^1_2 | 11.01 | YB.NR | YBR |
| R^2_3, R^1_3 | 01.10 NB.GN | BG | R^2_4, R^1_3 | 11.10 | YB.GN | YBG |
| R^2_4, R^1_4 | 01.11 NB.GR | BGR | R^2_4, R^1_4 | 11.11 | YB.GR | YBGR |

5 The binary representation of four reagents is $R_1(00)$, $R_2^1(01)$, $R_3^1(10)$ and $R_4^1(11)$ for the reagents used in step 1, and $R^2_1(00)$, $R^2_2(01)$, $R^2_3(10)$ and $R^2_4(11)$ for those in step 2. As before, sequences of reaction steps correspond to concatenated binary codes, and in the example all $4^2=16$ possible sequences are represented by 4-bit strings. Thus, the sequence: "reagent R^2_3 in step 2, reagent R^1_4 in step 1" would be represented by the string 10.11 (read
10 right to left). Using an alphabet of four fluorophores, with colors denoted by R, G, B, and Y as before, and assigned (Y, B, G, R) to represent 4-bit strings, the 2^4 possible strings (read right to left) are encoded in BCC ($m=4$) as displayed in table I and in Fig. 4.

A second rendition of the color code is a binary color code using m_F fluorophores with varying relative abundances and thus varying intensities at each step. The resulting eXtended
15 binary color code (XBCC) will encode $2^{(m_F \cdot m_i)}$ distinct compounds. For example, using an alphabet (2G, 2R, G, R) with only two distinct colors to represent 4-bit strings, 2^4 possible strings (read right to left) are encoded in XBCC ($m_F=2$, $m_i=2$) as enumerated in Table II. In the example, deconvolution will require discrimination of four distinct intensity levels for each of the two emission bands. If N steps are involved, the number of intensity
20 levels to be discriminated in the extended binary color code XBCC (m_F , m_i) may be as high as $N \cdot m_i$. The attainable intensity discrimination is ultimately limited by the signal-to-noise ratio attainable in the spectral analysis of individual beads.

25 **Table II**

| | | | | | |
|----|---------------------|-------------|------------------|----------------------|-------------------------|
| 30 | Step 1: $R^1_1(00)$ | No color | $R^1_2(01)$ Red | $R^1_3(10)$ Green | $R^1_4(11)$ Red+Green |
| | Step 2: $R^2_1(00)$ | No color | $R^2_2(01)$ 2Red | $R^2_3(10)$ 2Green | $R^2_4(11)$ 2Red+2Green |
| 35 | R^2_1, R^1_1 | 00.00 NN.NN | no color | R^2_3, R^1_1 10.00 | 2GN.NN GG |
| | R^2_1, R^1_2 | 00.01 NN.NR | R | R^2_3, R^1_2 10.01 | 2GN.NR GGR |
| | R^2_1, R^1_3 | 00.10 NN.GN | G | R^2_3, R^1_3 10.10 | 2GN.GN GGG |
| | R^2_1, R^1_4 | 00.11 NN.GR | GR | R^2_3, R^1_4 10.11 | 2GN.GR GGGR |

| | | | | | |
|----------------|--------------|------|----------------|-------|---------------|
| R^2_3, R^1_1 | 01.00 N2R.NN | RR | R^2_4, R^1_1 | 11.00 | 2G2R.NN GGRR |
| R^2_3, R^1_2 | 01.01 N2R.NR | RRR | R^2_4, R^1_2 | 11.01 | 2G2R.NR GGRRR |
| R^2_3, R^1_3 | 01.10 N2R.GN | RRG | R^2_4, R^1_3 | 11.10 | 2G2R.GN GGRRR |
| R^2_3, R^1_4 | 01.11 N2R.GR | RRRG | R^2_4, R^1_4 | 11.11 | 2G2R.GR GGRRR |

5

Another example describes the color-coding of products created in a combinatorial synthesis using 7 reagents in the first step, 6 reagents in each of the final two steps.

Reagents are represented by binary addresses R1(001), R2(010), R3(011)...,R7(111); for simplicity of notation, we omit the superscript for reagents (R) used in different steps.

10 Let $m_F=4$ (color denoted as before) and $m_I=2$. The following XBCC based on an 8-letter alphabet (2Y, 2B, 2G, 2R, Y, B, G, R) and illustrated in Table III may be devised to encode the $7^*6^*6=252$ synthesis products created in this synthesis. While the construction of the XBCC would require 9-bit strings to represent the full set of $8^3 = 512 = 2^9$ configurations created by all possible concatenations of 3-bit strings, the actual 252 required

15 configurations of the example can in fact be accommodated in the set of 2^8 possible 8-bit strings by making replacements of the sort indicated in the example. Thus, the reaction sequence "reagent 6 in step 3, reagent 1 in step 2, reagent 3 in step 1" is represented by the XBCC ($m_F=4, m_I=2$) as follows (read right to left): R6.R1.R3 = 2X2B.N.G = 2G2RY.N.G and thus corresponds to GGRRRY.

20

Table III

| R1 | R2 | | R3 | | R4 | | R5 | R6 | R7 |
|----------|-----|----|-----|------|-----|------|----------------------|--------------|-----|
| 000 | 001 | | 010 | | 011 | | 100 | 101 | 110 |
| Step1(7) | N | R | G | GR | B | BR | BG | NOT USED:BGR | |
| Step2(6) | N | Y | 2R | 2RY | 2G | 2GY | NOT USED:2G2R, 2G2RY | | |
| Step3(6) | N | 2B | 2Y | 2Y2B | 2X | 2X2B | | | |

25

30 Note: By convention, make the following replacements: 2X<-2G2R, 2X2B <-2G2RY

Simple Color Codes

In contrast to the complex task of encoding reaction histories in a multi-step combinatorial synthesis, many applications require the distinction of only a limited set of chemistries. Simple color codes (SCC) can be constructed for this purpose. While not matching the encoding capacity of the corresponding binary color codes, these color codes are
 5 entirely suitable in many instances in which the chemical distinctions of interest are created in a single reaction step, such as the coupling of a diagnostic probe to a bead. Examples of such limited chemical complexity include sensing applications as well as multi-agent monitoring and diagnostics.

As with binary color codes, the construction of simple color codes takes advantage of
 10 distinguishable wavelengths, lifetimes and intensities of available fluorophores. A general version of the SCC based on a total of m fluorophores is constructed by using equal amounts of l fluorophores to encode each distinct chemical species of interest, where $1 \leq l \leq m$. In this code, the set of possible combinations of colors is equivalent to the number of possible configurations, $S_r(l,m)$, of a sample of size l drawn with replacement from a reservoir of m ,
 15 $S_r(l,m) = (m+l-1)! / l!(m-1)!$. Replacement allows for multiple instances of one color in each string.

For example, if 4 distinct fluorophores ($m=4$) were available, and combinations of 3 ($l=3$) were used - in equal relative abundances - for each distinct chemical species of interest, the generalized SCC would provide a total of 20 distinct configurations. These are listed in
 20 table IV, denoting by R, G, B and Y the colors in a 4-color alphabet. Thus, the SCC ($l=3$, $m=4$) will uniquely encode the products generated in a single step of coupling up to 20 distinct antibodies to carrier beads; each of 20 reaction vessels would receive a mixture of three fluorophores in accordance with the set listed Table IV. The presence of several known fluorophores provides the basis to invoke coincidence methods to detect and monitor weak
 25 signals and so to enhance assay sensitivity.

Table IV

| | | | | |
|----|---------|---------|---------|---------|
| | (R,R,R) | (G,G,G) | (B,B,B) | (Y,Y,Y) |
| 30 | (R,R,G) | (G,G,B) | (B,B,Y) | |
| | (R,R,B) | (G,G,Y) | | |

| | | | |
|---|---------|---------|---------|
| | (R,R,Y) | | |
| | (R,G,G) | (G,B,B) | (B,Y,Y) |
| | (R,G,B) | (G,B,Y) | |
| | (R,G,Y) | | |
| 5 | (R,B,B) | (G,Y,Y) | |
| | (R,B,Y) | | |
| | (R,Y,Y) | | |

10 EXtended simple color codes (XSCC) can be constructed by varying relative abundances of fluorophores to create a set of distinguishable intensity levels for each of the fluorophore species in the alphabet. As with the XBCC, the XSCC permits control of m_i intensity levels for each of m_f fluorophore species in the alphabet.

15 Particularly easy to realize is the special case of SCC and XSCC where $l=1$; only a single fluorophore marks each chemical species of interest.

Further Enhancements

20 All color codes previously discussed herein can be further augmented by varying certain physico-chemical parameters of beads. For example, the number of encoded configurations may each be attached to a set of beads whose respective shapes, mean sizes, polarizabilities or other physico-chemical properties differ sufficiently so as to be distinguishable. By using S distinct sets of beads, the number of encoded configurations represented with XBCC(m) is increased to $S \cdot 2^m$.

25 BCC and XBCC encode chemical compound identity in terms of the relative abundances of fluorophores coupled to each bead. Accordingly, all permutations of a string of fluorophore tags are equivalent because they result in the same relative abundances. However, it has not escaped our notice that the implementation of the color code in which labeling leads to compound termination (see Fig. 3A) also retains a record of the order in which different color labels were added to each bead. Consequently, the analysis of molecular weights of labeled compounds will reveal the order in which labeling occurred.

30

Chemical Realization of Extended Binary Color Code

The realization of a chemical color code relies on a set ("alphabet") of chemically activated fluorophores with minimally overlapping absorption and emission spectra. We discuss here the case of the Extended Binary Color Code; other codes may be realized in analogous fashion. Although the implementation of a color code according to the present invention is illustrated herein by way of a specific family of fluorophores, the method is equally suitable for implementation with other fluorophores and chromophores whose distinctive spectral features serve to construct an alphabet of tags as described herein. An example of a suitable alphabet of six colors is provided by the CyDye(TM) family of indocyanine dyes, listed in Fig. 5.

The synthetic steps in this example are as follows (using standard Fmoc main-chain protection chemistry (Atherton & Sheppard, "Solid Phase Peptide Synthesis: A Practical Approach", IRL Press at Oxford University Press, Oxford, 1989, the contents are included herein by reference)).

Table V

-
- 1) deprotect α -amino group
 - 2) split resin population into a small number of aliquots
 - 3) for each resin aliquot, perform sub-stoichiometric coupling with coding CyDye activated ester;
typical concentration: ≈ 0.001 to 0.1 mole of dye(s) per mole of α -amino
 - 4) for each resin aliquot, perform coupling reaction with encoded amino acid
 - 5) pool resin aliquots
 - 6) repeat steps 1-5 for each randomized position in the amino acid sequence
-

This procedure avoids fluorescence energy transfer between different dyes. First, labeling of any amino acid sequence as described herein will inactivate and so will terminate that sequence. Consequently, only a single dye is incorporated into any sequence and intra-sequence energy transfer is avoided. Second, low densities of dyes immobilized on the resin surface (see step 3 above) will ensure that lateral distances between labeled amino acid sequences substantially exceed the pertinent Förster radii for inter-strand fluorescent energy

transfer. This is a manifestation of the well known phenomenon of "pseudo-dilution" in solid phase synthesis.

The practicability of the procedure in Table V has been demonstrated by labeling standard combination synthesis bead resins (NovaSyn TG amino resin, NovaBiochem, "Combinatorial Chemistry" Catalog, San Diego, CA, 1997, the contents of which are included herein by reference). Specifically, we have constructed SCC($l=1$, $m=6$) as well as XSCC($l=1$, $m_F=1$, $m_I=5$) with individual dyes and with multiple dyes of the CyDye series and have shown that colors are distinguishable by fluorescence microscopy at molar ratios as low as 0.0001. In addition, we have demonstrated that the dye coupling chemistry is compatible with protein synthesis as specified in Table V.

The method of the present invention may be used to realize color encoding of amino acid or peptide combinatorial libraries, examples of which are summarized in Table VI. A suitable reporter system is an anti- β -endorphin monoclonal antibody (mAb) directed against an epitope in the form of an N-terminal amino acid sequence N_{res} -YGGFL, where Y denotes tyrosine; binding of the primary anti- β -endorphin mAb to its target is detected by a cascade-blue labeled secondary anti-mouse antibody (excitation at 396 nm, emission at 410 nm).

Table VI

| | | | |
|----|--|---|---|
| 20 | Binary Color Code (BCC) bit 1: Cy2 bit 3: Cy5 bit 2: Cy3 bit 4: Cy7 | XXGFL- β Ala-BEAD X=Gly,Ala,Tyr,Phe | 16=4x4 species created 16=2 ⁴ species created |
| 25 | 2-Level eXtended BCC bit 1: Cy2 bit 5: Cy5 bit 2: 2*Cy2 bit 6: 2*Cy5 bit 3: Cy3 bit 7: Cy7 bit 4: 2*Cy3 bit 8: 2*Cy7 | ZXXFL- β Ala-BEAD Z=Gly,Ala,Glu,Lys, Phe,Tyr,D-Tyr X=Gly,Ala,Glu,Lys, Phe,Tyr | 252=7*6*6 species created 256=2 ⁸ species encoded |
| 30 | 3-Level eXtended BCC bit 1: Cy2 bit 7: Cy5 bit 2: 2*Cy2 bit 8: 2*Cy5 bit 3: 4*Cy2 bit 9: 4*Cy5 bit 4: Cy3 bit 10: Cy7 bit 5: 2*Cy3 bit 11: 2*Cy7 bit 6: 4*Cy3 bit 12: 4*Cy7 | XXXXL- β Ala-BEAD X=Gly,Ala,Ser,Asn, Glu,Lys,Phe,Tyr | 4096=8 ⁴ species created 4096=2 ¹² species encoded |

Although the method of the present invention is illustrated by making reference to peptides and peptide precursors, the method is equally suitable with any other chemical precursors and compound classes that have been created via DCR combinatorial synthesis
5 (Calbiochem-NovaBiochem, "Solid Phase Organic Chemistry Handbook", San Diego, CA, 1997, the contents of which are included herein by reference).

Compounds prepared by the disclosed methods have potential use as therapeutic agents in the treatment of hypertension, inflammation, and analgesia. For example, enkephalin analogues selected by the disclosed methods may be useful as analgesics. Organic
10 compounds such as benzodiazepines useful as a muscle relaxant may also be selected by the disclosed methods.

Diagnostics and Environmental Monitoring of Multiple Agents

The method of the present invention enables a novel implementation of diagnostic
15 assays and tests that probe simultaneously for multiple reagents or pathogens. In contrast to the spatial encoding of diagnostic panels in all prior art, random assemblies of multiple bead types, distinguishable by their respective color codes, can be mixed and handled in parallel. For example, the implementation of bead-based immunodiagnostic assay formats can take advantage of color coding as described herein to display a multiplicity of specific bead-
20 anchored antibodies, each type assigned to a specific color code, to monitor for a multiplicity of agents in the ambient.

A preferred implementation of a multi-agent diagnostic assay uses random arrays of chemically encoded beads (Fig. 6). For example, the determination of blood type would require only five distinct bead types, a task that is readily addressed by the SCC ($l=1$, $m=5$).
25 This realization of diagnostic testing and environmental monitoring devices would facilitate miniaturization, integration of multiple tests and automated operation relying on spectral read-out.

In-Situ Interrogation and Decoding of Color-Encoded Beads

30 The optical arrangement in Fig. 7 provides for the integration of two essential capabilities: fluorescence microscopic imaging and multi-color fluorescence analysis of

individual beads. The latter serves to determine the relative abundances of several fluorophores present on the bead surface.

The use of a microscope objective of high numerical aperture ($N.A. = 0.7$)(702) serves to maximize collection efficiency as well as spatial resolution. The principal additional components of Fig. 7 are: a long-pass filter to reject stray excitation light (704), a dichroic beam splitter (706) to separate beams for image formation by the field lens (708) and spectral analysis via focusing of the light (by lens 710) on the slit aperture of a grating monochromator (712) or, alternatively (not shown), on the entrance pupil of an optical fiber that is coupled to a grating monochromator; multi-color spectra are recorded by a CCD array (714). Infinity-corrected optical components offer convenience of implementation.

While simple long pass filters have been employed in DNA sequencing applications to reject stray excitation light supplied at a single wavelength, interference filters can be designed to provide multiple narrow (10 nm) pass-bands at several emission wavelengths characteristic of the CyDye family of fluorophores discussed herein. Similar fabrication techniques may be applied to the dichroic mirror. These considerations are particularly relevant to an epi-fluorescence geometry, a special case of reflection microscopy.

Among the suitable instrumental realizations of recording spectral information from individual color-encoded beads or collections of color-encoded beads are flow cytometric analysis and multi-spectral imaging. The latter permits the collection of spectral information from individual or multiple beads in the field of view of a microscope or other imaging device, as considered in Fig. 7.

Methods suitable for multi-spectral imaging include: multiplexing of distinct wavelengths of incident and emitted light and illumination with a superposition of multiple wavelengths, followed by dispersive imaging by means of a grating or prism (see Fig. 7) or followed by interferometric analysis of emitted light.

The first method is readily implemented using matching optical pass-band filters; these are mounted in filterwheels and positioned in incident and emitted light paths of a microscope. The synchronized rotation of the two filterwheels will insert matching pairs of excitation and emission filters (a reflective geometry will also require a suitable dichroic mirror) into the light path, producing a repeating series of images at each of the distinct wavelengths selected

one of the filter/mirror combination. This principle is realized, for example, in the Fluorescence Imaging MicroSpectrophotometer developed by Kairos Scientific (Santa Clara, CA).

In the second method, distinct wavelengths for illumination are produced by a multi-pass band filter/mirror combination; a prism is inserted into the output path. This configuration facilitates the simultaneous spectral analysis of multiple beads located in a rectangular slice of the field of view of the microscope. Light emitted from beads within this slice is imaged onto the entrance slit of the prism and is decomposed into its spectral components. This principle is realized in the PARISS Imaging Spectrometer attachment developed by LightForm (Belle Meade, NJ). In the third method, light from the entire field of view is analyzed interferometrically: a pellicle beamsplitter in the output path produces two (coherent) light beams which are reflected by a mirror and recombined. As the beamsplitter is rotated, a small difference in pathlength is introduced between the two light beams, resulting in interference fringes as the two beams are recombined. These fringes contain the entire spectral information contained in the light emitted from the field of view of a microscope (Garini et al, Bioimaging 4, 65-72 (1996)). That is, as the beamsplitter is rotated, a continuous spectrum is generated for every position within the field of view, resulting in a three-dimensional representation of the data. This principle is realized in the SpectraCube system developed and marketed by Applied Spectral Imaging (Carlsbad, CA). In contrast to the first method, the second and third methods generate a continuous spectrum, facilitating spectral classification of overlapping emission bands.

The arrangements in Fig. 8 provide for additional flexibility in rejecting stray light by spatially separating incident light and emitted light collection in transmission and rejection microscopy, as illustrated in Figs. 8A and 8B, respectively. In addition, the use of specially designed multi-pass band interference filters in the output light path is again an option.

The demands on the sensitivity of the multi-color fluorescence detection system derive from the number of fluorophores of each color expected to be present on a selected bead. A bead of radius R and surface area $A=4\pi R^2$ will accommodate up to $N=A/a$ molecules of molecular area a , or $N^*=xN$ fluorophores. With $a=30A$ and $0.01<x<0.1$, a bead of $10\text{ }\mu\text{m}$ diameter may carry $10^7 \leq N^* \leq 10^8$ fluorophores. For comparison, imaging of small circular domains of $10\text{ }\mu\text{m}$ diameter within a monomolecular film composed of a phospholipid

containing 1 mole% of a fluorescent analog and confined to an air-water interface, is based on a comparable number of fluorophores and is readily accomplished using silicon-intensified target (SIT) camera technology. The refractive property of beads in aqueous solution will further enhance the light collection efficiency of the entire system.

5

In-situ Interrogation and Decoding of Color-Encoded Bead Arrays

The present invention provides a methodology for color-encoding of beads and describes a method and apparatus for in-situ interrogation and decoding of color-encoded beads and collections of beads by multi-color fluorescence imaging and spectral analysis.

10 This method is compatible with all bead assay formats described to date, as discussed herein.

A preferred format providing a particularly efficient realization of bead assays on the basis of the methods and apparatus of the present invention involves planar beads arrays. This format facilitates highly parallel screening of enzyme activity, receptor-ligand binding, antibody-antigen recognition as well as DNA or RNA hybridization, etc. Thus, a close-packed array of 100 μ m diameter beads can contain of the order of 10^4 beads in an area of only 1cm², permitting the examination of up to 10^4 compounds/cm² in a single pass. The instantaneous determination of chemical identities enables the efficient implementation of re-iterative screening in which multiple copies of each bead type are examined to establish a statistically robust ranking of compounds producing positive assay scores. Furthermore, the implementation of the present invention in a planar bead array format lends itself to automation. Automated operation would entail the preparation of planar bead arrays, followed by fluorescence imaging of the array to locate beads that are to be subjected to spectral analysis and on-line decoding. The intrinsic detection sensitivity of fluorescence, demonstrated at the level of detecting single fluorophores, makes it possible to substantially reduce the size of synthesis beads. This in turn facilitates miniaturization and containment within an enclosed system, with its attendant benefits of reducing the requisite quantity of synthesized compound and the amount of reagents consumed in the course of screening.

25 One method of forming planar bead arrays is to rely on gravity-driven settling of beads from suspension to produce a (static) layer of beads or arrangement of bead clusters on a planar substrate. A second method employs dynamic planar bead arrays that are formed adjacent to planar surfaces and manipulated in-situ under external control, for example by

30

Light-controlled Electrokinetic Assembly of Particles near Surfaces (LEAPS). LEAPS is a technology that provides the capability to form dynamic planar bead arrays in aqueous solution on cue and to place and maintain them in a designated area of a planar electrode surface, as set forth in the copending PCT application filed April 24, 1997, entitled "Light
5 Controlled Electrokinetic Assembly of Particles Near Surfaces", based on U.S. Provisional Application Serial No. 60/016,642, filed April 25, 1996, which is incorporated by reference herein.

Dynamic planar bead arrays provide additional advantages in the realization of automated screening assays in a miniaturized, contained environment. Bead suspensions from
10 a synthesis pool will be loaded into a "sandwich" flow cell where planar bead arrays are formed adjacent to the planar walls of cell; screening assays will be performed in planar array format to identify lead compounds without the need of a time-consuming and error-prone step of physical separation; following completion of the scheduled assays, bead arrays will be disassembled and the bead suspension discharged to ready the flow cell for another cycle. In the
15 example, a redundancy of 10, i.e., the presence of 10 copies of beads of identical type and color code, would still facilitate screening of 1000 compounds at a time, but would considerably enhance the quality of any pharmacokinetic characterization. The benefits of miniaturization would be enhanced by the use of small synthesis beads. Chemically and physically well defined beads in the requisite size range (10 μ m diameter) are available from
20 many commercial sources. They are readily manipulated by LEAPS to form dynamic planar bead arrays of high density. This ensures that screening assays may be performed in a highly parallel format on a large number of samples, and this in turn provides the basis for highly re-iterative screening and for a robust pharmacokinetic characterization of potential lead compounds.

25 The present invention will be better understood from the Experimental Details which follow. However, one skilled in the art will readily appreciate that the specific methods and results discussed are merely illustrative of the invention as described in the claims which follow thereafter.

Example 1

30 1. Color-encoded PEG-polystyrene microspheres

a. Preparation of color-encoded PEG-polystyrene microspheres

- (1) Cy2 (ex = 489 nm, em = 506 nm)-color-encoded PEG-polystyrene microspheres:
50 mg of NovaSyn TG amino microspheres (NovaBiochem; 130 μ diameter, 15 μ mol amine) were equilibrated in 10 ml DMF 30 min at 25°C. The supernatant was removed by filtration, and 100 μ l DMF, 1 μ l TEA and 15 μ l 1 mM Cy2-bisfunctional NHS-ester (Amersham; 15 nmol) were added in DMF. The reaction mixture was shaken 1 h at 25°C, 2 μ l (20 μ mole) n-butylamine was added, and the reaction mixture was shaken a further 30 min at 25°C. The supernatant was removed, and microspheres were washed twice with 5 ml DMF, rinsed twice with 5 ml chloroform and dried *in vacuo*.
- (2) Cy3 (ex = 550 nm, em = 570 nm)-color-encoded PEG-polystyrene microspheres:
This preparation was identical to (1) except that, in parallel reactions, 15 μ l of 0.001, 0.01, 0.1, and 1 mM Cy3-monofunctional NHS-ester (Amersham; 0.15, 1.5, and 15 nmol) were used, and the n-butylamine step was omitted.
- (3) Cy3.5 (ex = 581 nm, em = 596 nm)-color-encoded PEG-polystyrene microspheres:
This preparation was identical to (1) except that 15 μ l of 1 mM Cy3.5-monofunctional NHS-ester (Amersham; 15 nmol) was used, and the n-butylamine step was omitted.
- (4) Cy5 (ex = 649 nm, em = 670 nm)-color-encoded PEG-polystyrene microspheres:
This preparation was identical to (1) except that 15 μ l of 1 mM Cy5-monofunctional NHS-ester (Amersham; 15 nmol) was used, and the n-butylamine step was omitted.
- (5) Cy5.5 (ex = 675 nm, em = 694 nm)-color-encoded PEG-polystyrene microspheres:
This preparation was identical to (1) except that 15 μ l of 1 mM Cy5.5-monofunctional NHS-ester (Amersham; 15 nmol) was used, and the n-butylamine step was omitted.
- (6) Cy7 (ex = 743 nm, em = 767 nm)-color-encoded PEG-polystyrene microspheres:
This preparation was identical to (1) except that 15 μ l of 1 mM Cy7-bisfunctional NHS-ester (Amersham; 15 nmol) was used.
- (7) Cy3/Cy5-color-encoded PEG-polystyrene microspheres:
This preparation was identical to (1) except that both Cy3-monofunctional NHS-ester and Cy5-monofunctional NHS-ester were added (15 μ l of 1 mM stock each), and the n-butylamine step was omitted.
- (8) Cy2/Cy3/Cy5/Cy7-color-encoded PEG-polystyrene microspheres:

This preparation was identical to (1) except that Cy2-bisfunctional NHS-ester, Cy3-monofunctional NHS-ester, Cy5-monofunctional NHS-ester, and Cy7-bisfunctional NHS-ester were added (15 μ l of 1 mM stock each).

- b. Stability of Cy3-encoded PEG-polystyrene microspheres to solid-phase peptide synthesis conditions.

Cy3-encoded PEG-polystyrene microspheres were subjected to one cycle of solid-phase peptide synthesis. 50 mg microspheres and 5 mg Fmoc(Lys)Boc-OBT [prepared by reacting 94 mg Fmoc(Lys)Boc-OH (NovaBiochem; 0.2 mmol), 48 mg DCC (Aldrich; 0.22 mmol) and 27 mg HOBT (Aldrich; 0.2 mmol) in 2 ml DMF for 0.5 h at 25°C, centrifuging at 2000x g 5 min at 25°C, and using 100 μ l of the supernatant) in 100 μ l DMF were shaken 0.5 h at 25°C. The microspheres were filtered, suspended in 100 μ l 20 % piperidine in DMF 15 min at 25°C, washed twice with 5 ml CHCl_3 , and dried. The UV/VIS absorbance and fluorescence properties of the Cy3-encoded PEG-polystyrene microspheres were unchanged.

- c. Optical properties of color-encoded PEG-polystyrene microspheres

- 15 Microspheres examined for their optical properties included:

Cy3 (ex = 550 nm, em = 570 nm)-color-encoded PEG-polystyrene microspheres of four different intensity levels, prepared as described in section a-(2) above by reacting beads with 0.001, 0.01, 0.1 and 1mM Cy3, are denoted b3-0001, b3-001, b3-01 and b3-1, respectively; as a group, all the Cy3-encoded PEG-polystyrene microspheres are denoted b3-x.

- 20 Cy5 (ex = 649 nm, em = 670 nm)-color-encoded PEG-polystyrene microspheres, prepared as described in section a-(2) above by reacting beads with 1mM Cy5, are denoted b5-1; Cy3/Cy5-color-encoded PEG-polystyrene microspheres, prepared as described in section a-(2) above by reacting beads with 1mM Cy3/Cy5, are denoted b35-1.

- 25 An aliquot of dried microspheres was suspended in DMF and dispersed on a silicon wafer; DMF was evaporated by gentle heating. All subsequent observations were made in air.

(1) Fluorescence Imaging

- Observations were made with a Zeiss UEM microscope equipped for epifluorescence; combinations of excitation filter/dichroic mirror/emission filter designed for Cy3 and Cy5 (Chroma Technologies, Brattleboro, VT) were used in conjunction with a 100W halogen
- 30

illuminator and objectives of 10X, 25X and 40X magnification. Optionally, images were recorded with a SIT camera (Cohu, San Diego, CA).

All microspheres displayed a bright circumferential "ring" of high intensity, corresponding to $\leq 5\%$ of the particle diameter, suggesting that label was associated primarily with the surface, rather than the interior, of each particle. Even the dimmest particles, of type b3-0001, were readily observable using a 25X/0.45NA objective and the SIT camera. Microspheres of type b3-0001 appeared dimmer than did microspheres of type b3-001, although by less than the expected factor of 10. This phenomenon remains to be explored, but may indicate fluorescence quenching. Any given set of Cy3-encoded microspheres displayed particle-to-particle variations in color: some particles appeared orange, others yellow. of type b5-1 appeared bright red.

(2) Fluorescence Spectra

To demonstrate the feasibility of in-situ interrogation of color-encoded microspheres, fluorescence spectra were recorded from individual color-encoded PEG-polystyrene microspheres by means of a PARISSTM imaging spectrophotometer (prototype supplied by LightForm, Belle Meade, NJ) with 50 μ m wide entrance slit, curved prism and room-temperature CCD array capable of on-chip integration. The instrument was mounted to the camera port of a Zeiss UEM microscope. In this configuration, multiple beads which are lined up along the long dimension of the projected slit can be imaged and spectrally analyzed. Only an approximate wavelength calibration was performed.

Spectra displaying fluorescence intensity as a function of wavelength were obtained separately for Cy3- and for Cy5-encoded microspheres and showed the following spectral characteristics:

b3-x: spectra were obtained for all types of particles; specific features included: for b3-0001: signal-to-noise (S/N) ≈ 2 , signal-to-background (S/B) ≈ 1.5 ; for b3-001: S/N ≈ 4 , S/B ≈ 2 (with a CCD integration time of approximately 10s); smoothing clearly revealed characteristic spectral features; for b3-1: S/N > 10 ;
b5-1: very clean spectra were recorded, all with a slight skew toward high wavelength;

b35-1: very clean spectra of either label were recorded, switching between appropriate filters to simulate filter wheel operation. At this concentration, spectra (taken with 10-times shorter integration time than that used for b3-01 and b3-001) displayed no discernible noise.

5

2. *Color-encoded macroporous polystyrene microspheres*

a. Preparation of color-encoded macroporous polystyrene microspheres

50 mg Amino-Biolinker-PM1-1000 amino oligoethylene glycol-functionalized macroporous polystyrene microspheres (Solid Phase Sciences; 35 μ diameter, 7 μ mol amine) were equilibrated in 2 ml DMF 20 min at 25°C. The supernatant was removed by filtration, and 100 μ l DMF, 1 μ l TEA, and 70 μ l 1 mM Cy3-monofunctional NHS-ester (Amersham; 70 nmol) were added. After 1 hr at 25°C with shaking, the supernatant was removed by filtration, and the microspheres were washed twice with 5 ml DMF, washed twice with 5 ml CHCl_3 , and dried *in vacuo*.

15 b. Optical properties of color-encoded macroporous polystyrene microspheres

Visual inspection using the configuration described under Example 1, revealed substantial bead-to-bead variations in fluorescence intensity.

3. *Color-encoded solid glass microspheres ("pelicular microspheres")*

20 a. Preparation of color-encoded pelicular microspheres

(1) Epoxide-functionalized pelicular microspheres:

4 g solid sodalime glass microspheres (Duke Scientific; 40 \pm 3 μ diameter; 4.8 \times 10⁷ microspheres), 7 ml xylene, 2.34 ml 3-glycidoxypropyltrimethoxysilane (Aldrich; 1 mmol) and 0.117 ml diisopropylethylamine (Aldrich; 0.7 mmol) were shaken 18 h at 80°C. Upon cooling to room temperature, microspheres were filtered, washed with 40 ml methanol, washed with 40 ml diethyl ether, and dried *in vacuo*.

(2) MMT-NH-PEG-functionalized pelicular microspheres:

Microspheres from (1) were suspended in a solution of 200 mg mono-MMT-1,13-trioxotridecadiamine [0.4 mmol; prepared by mixing 7 g MMT-Cl (Aldrich; 23 mmol) and 11.3 ml 4,7,10-trioxa-1,13-tridecanediamine (Aldrich; 51 mmol) in 150 ml 1:1:1 methylene chloride:pyridine:acetonitrile for 18 h at 25°C, then isolating the required adduct by

30

chromatography on silica gel) in 6 ml xylene. Approximately 10 mg sodium hydride (Aldrich; 0.4 mmol) was added, and the suspension shaken 18 h at 40°C under a drying tube. Microspheres then were filtered and successively washed with 20 ml methanol, 10 ml water, 20 ml methanol, and 20 ml chloroform, and dried *in vacuo*.

- 5 Dried microspheres were capped by reaction with 5% acetic anhydride, 5% 2,6-lutidine, 8% N-methylimidazole in 10 ml tetrahydrofuran 1 h at 25°C with shaking, successively washed in 2x5 ml methanol, 2x5 ml chloroform, and 2x5 ml diethyl ether, and dried *in vacuo*.

(3) H₂N-PEG-functionalized pelicular microspheres:

Microspheres from (2) were treated with 1 ml 3% TFA in CH₂Cl₂ 0.5 h at 25°C with shaking.

- 10 Based on quantitation of released monomethoxy trityl cation ($\epsilon_{478} = 3.47 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$) the loading densities of H₂N-PEG were as follows:

15 fmol H₂N-PEG per microsphere

1.1×10^{10} molecules H₂N-PEG per microsphere

0.022 molecule H₂N-PEG per Å²

- 15 Assuming ≈ 0.04 available silanol groups per Å² of soda-lime glass, the grafting efficiency was $\approx 50\%$.

(4) Color-encoded PEG-functionalized pelicular microspheres:

To 20 mg of H₂N-PEG-functionalized pelicular microspheres (4.2 nmol amine), were added 97 µl DMF, 2 µl TEA, and 0.8 µl 1 mM Cy3-monofunctional NHS-ester (Amersham; 0.8 nmol), and the resulting suspension was shaken for 18 h at 25°C. Microspheres then were filtered and washed successively with 5 ml DMF, 5 ml methanol, 5 ml chloroform, and 5 ml diethyl ether, and dried *in vacuo*.

Based on quantitation of consumed Cy3-monofunctional NHS-ester ($\epsilon_{332} = 1.5 \times 10^5 \text{ M}^{-1} \text{ cm}^{-1}$) the loading of Cy3 densities were as follows:

- 25 1 fmol Cy3 per microsphere

6×10^8 molecules Cy3 per microsphere

0.001 molecule Cy3 per Å²

0.07 molecule Cy3 per molecule available H₂N-PEG

b. Optical properties of Cy3-encoded PEG-functionalized pelicular microspheres:

- 30 Visual inspection using the configuration described under Example 1, revealed uniformly fluorescent microspheres.

What is Claimed is:

1. A method of identifying a compound having a selected property of interest in a library
5 of compounds, each of said compounds being bound to its respective solid support, and
being produced by a unique reaction series composed of N reaction steps, wherein each
compound is prepared from a component, and N is an integer from at least 1 to about 100,
which comprises:
 - a) dividing a population of solid supports having at least one type of a first functional
10 group at the surface of said solid support selected from the group consisting of CO_2H ,
 OH , SH , NH_2 , NHR , CH_2Cl , CH_2Br and CHN_2 , wherein R is a linear $\text{C}-\text{C}$ alkyl
group, into M batches, wherein M is an integer from at least 2 to about 25;
 - b) coupling the M batches of solid support in a set of at least one reaction respectively
15 with M different components so as to form a bond with the solid support via said first
functional group, said components being independently optionally protected;
 - c) adding to each batch, optionally prior to coupling step b), concurrently therewith, or
subsequently to step b), from about 0.001 to about 0.5 molar equivalent of a
spectrally distinguishable fluorophore tag associated uniquely with each component,
said tag being identified by its characteristic excitation wavelength(s), emission
20 wavelength(s), excited state lifetime and emission intensity, said tag being activated
so as to be capable of forming either a direct bond to the surface of the solid support,
optionally via a second functional group which is optionally protected and may be the
same as or different from the first functional group bonded to the component, or an
indirect bond via a $\text{C}_1\text{-C}_9$ linear or branched alkyl linker moiety which is optionally
25 interrupted by at least one oxygen or nitrogen atom or a carbonyl, $(\text{C}=\text{O})\text{NH}$ or
 $\text{NH}(\text{C}=\text{O})$ moiety, wherein when said second functional group is protected, said
functional group is deprotected prior to forming said direct or indirect bond, said
linker being bonded to the second functional group at the surface of the solid support;
 - d) optionally recombining all M batches, said recombining step optionally being
30 subsequent to step c);

- c) performing an assay capable of indicating that any compound in the library either while bound to or cleaved from its solid support has the property of interest;
- f) collecting spectral fluorescence data for each respective solid support so as to determine respective relative abundances of the fluorophore tags bound thereto;
- g) analyzing the collected spectral fluorescence data by comparing the respective relative abundances of the fluorophore tags determined in step f) so as to determine the unique reaction series for the compound, thereby identifying the compound having the property of interest.
2. The method of claim 1 wherein the components are independently selected from the group consisting of an amino acid, a hydroxyacid, an oligoamino acid, an oligopeptide, a saccharide, an oligosaccharide, a diamine, a dicarboxylic acid, an amine-substituted sulfhydryl, a sulfhydryl-substituted carboxylic acid, an alicyclic, an aliphatic, a heteroaliphatic, an aromatic and a heterocyclic moiety.
3. The method of claim 2 wherein the saccharide is a suitably protected D- or L-glucose, fructose, inositol, mannose, ribose, deoxyribose or fucose.
4. The method of claim 2 wherein the oligopeptide is an enkephalin, a vasopressin, an oxytocin, an atrial natrietic factor, a bombesin, a calcitonin, a parathyroid hormone, a neuropeptide Y or an endorphin, or a fragment thereof comprising at least 20% of the components thereof, or an isosteric analogue thereof wherein independently $\text{NH}(\text{C}=\text{O})$ is replaced by $\text{NH}(\text{C}=\text{O})\text{NH}$, $\text{NH}(\text{C}=\text{O})\text{O}$, $\text{CH}_2(\text{C}=\text{O})$ or CH_2O ; NH_2 is replaced by OH , SH , NO_2 or CH_3 ; CH_3S is replaced by $\text{CH}_3(\text{S}=\text{O})$ or $\text{CH}_3\text{C}(\text{S}=\text{O})\text{CH}_3$; indole is replaced by naphthyl or indene; hydroxyphenyl is replaced by tolyl, mercaptophenyl or nitrophenyl; and/or hydrogen in an aromatic ring is replaced by chlorine, bromine, iodine or fluorine; $\text{C}_1\text{-C}_4$ alkyl is replaced by partially or fully fluorinated $\text{C}_1\text{-C}_4$ alkyl.
5. The method of claim 2 wherein the oligopeptide is an ACE inhibitor, an HIV protease inhibitor, a cytolytic oligopeptide or an antibacterial oligopeptide.

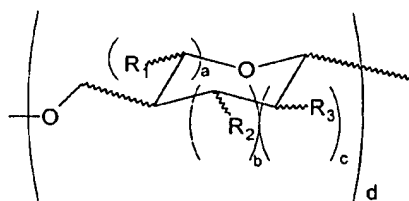
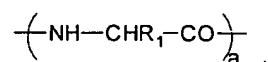
6. The method of claim 2 wherein the aromatic is para-disubstituted benzene, biphenyl, naphthalene or anthracene, optionally substituted by linear or branched chain lower alkyl, alkoxy, halogen, hydroxy, cyano or nitro.
- 5 7. The method of claim 2 wherein the heterocyclic moiety is 2,6-disubstituted pyridine, thiophene, 3,7-disubstituted N-protected indole or 2,4-disubstituted imidazole, optionally substituted by linear or branched chain lower alkyl, alkoxy, halogen, hydroxy, cyano or nitro.
- 10 8. The method of claim 1 wherein the solid support is a microsphere, a bead, a resin or a particle, and is composed of a material selected from the group consisting of polystyrene, polyethylene, cellulose, polyacrylate, polyacrylamide, or preferably a silica or glass bead.
- 15 9. The method of claim 1 wherein the solid support is chemically modified by covalent attachment of an optionally substituted oligo- or polyethyleneglycol, optionally terminated by an amine substituted by hydroxymethyl, chloromethyl, aminomethyl or mercaptomethyl, wherein the functional group at the surface of the solid support is hydroxy, chlorine, NH_2 or SH , respectively.
- 20 10. The method of claim 1 wherein the assay is performed while the compound is cleaved from its solid support under conditions whereby the compound remains adsorbed to the solid support.
- 25 11. The method of claim 1 wherein when the property of interest is binding affinity of a compound to a receptor, the assay is performed by determining a physical response to binding by
 - a) first admixing with the library of compounds a solution of a labelled receptor so as to result in labelled receptor bound to at least one compound bound to a solid support;
 - b) removing the solution from the solid support;
 - 30 c) optionally washing the solid support so as substantially to remove non-bound labelled receptor; and

d) measuring the physical response due to bound labelled receptor so as to determine the binding affinity.

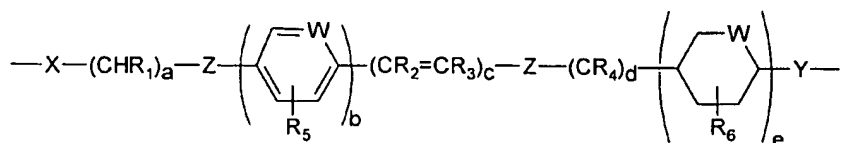
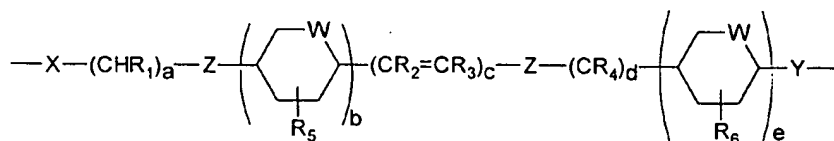
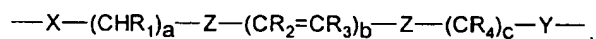
12. The method of claim 11 wherein the receptor is labelled by a fluorescent dye, a colored dye, radioisotope or an enzyme.

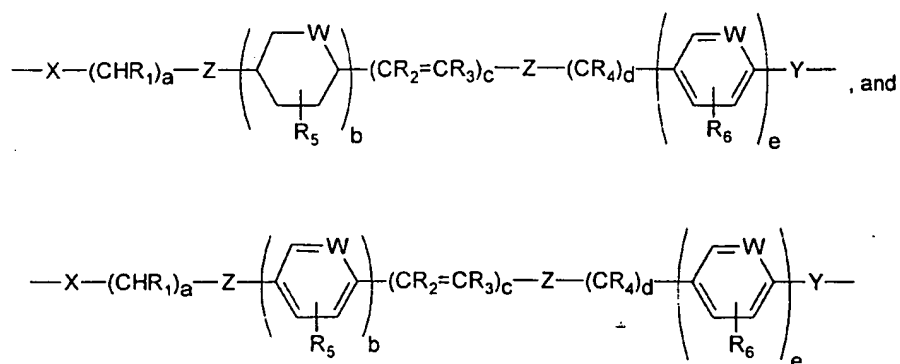
13. The method of claim 11 wherein the physical response is fluorescence emission, optical absorption or radioactivity.

14. The method of claim 1 wherein the components have a structure independently selected from the group consisting of:



15 $-\text{X}-(\text{CHR}_1)_a-(\text{CR}_2=\text{CR}_3)_b-(\text{CR}_4)_c-\text{Y}-,$





wherein R_1 , R_2 , R_3 , R_4 , R_5 , and R_6 are independently methyl, ethyl, linear or branched chain
 5 C_3 - C_9 alkyl, phenyl, benzyl, benzoyl, cyano, nitro, halo, formyl, acetyl and linear or branched
 chain C_3 - C_9 acyl; wherein a , b , c , d and e are independently 0, 1, 2 or 3; wherein X , Y and
 Z are independently NH , O , S , $S(=O)$, CO , $(CO)O$, $O(CO)$, $NH(C=O)$ or $(C=O)NH$; and
 wherein W is independently N , O or S .

10 15. The method of claim 1 wherein at least one component is an amino acid, bearing an
 optional protected group which is capable of participating in a further reaction or
 coupling step is nitrogen and is protected by a protecting group selected from the group
 consisting of N - α -fluorenylmethyloxycarbonyl, t -butyloxycarbonyl, t -amyloxycarbonyl,
 (trialkylsilyl) ethyloxycarbonyl, t -butyl and benzyl.

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16. The method of claim 1 wherein the fluorophore tag represents a bit of a binary code, and
 comprises zero, one or more than one fluorescent dye, multiple fluorescent dyes, said
 dye(s) being spectrally distinguishable by excitation wavelength, emission wavelength,
 excited-state lifetime or emission intensity.

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17. The method of claim 16 wherein emission intensity is distinguished by adjusting the ratio
 of the relative quantities of each fluorophore.

18. The method of claim 17 wherein the ratio is 1:1, 2:1, 3:1 or 4:1.

25

19. The method of claim 1 wherein the fluorophore tags are dyes selected from the group consisting of Cy2TM, Cy3TM, Cy3.5TM, Cy5TM, Cy5.5TM and Cy7TM, and are activated as active esters selected from the group consisting of succinimidyl, sulfosuccinimidyl, p-nitrophenol, pentafluorophenol, HOBt and N-hydroxypiperidyl.
- 5
20. The method of claim 1 wherein the fluorophore tags are dyes selected from the group consisting of BODIPY FL-XTM, BODIPY R6G-XTM, BODIPY TMR-XTM, BODIPY TR-XTM, BODIPY 630/650-XTM and BODIPY 650/655-XTM, and are activated as active esters selected from the group consisting of succinimidyl, sulfosuccinimidyl, p-nitrophenol, pentafluorophenol, HOBt and N-hydroxypiperidyl.
- 10
21. The method of claim 1 wherein the fluorophore tags are dyes selected from the group consisting of Alexa 488TM, Alexa 532TM, Alexa 546TM, Alexa 568TM and Alexa 594TM, and are activated as active esters selected from the group consisting of succinimidyl, sulfosuccinimidyl, p-nitrophenol, pentafluorophenol, HOBt and N-hydroxypiperidyl.
- 15
22. The method of claim 1 wherein the assay is performed by cleaving compounds from the solid support while permitting diffusion through solution and binding to receptors, said receptors being arranged in proximity to each solid support.
- 20
23. The method of claim 1 wherein the fluorescence data are collected from multiple solid supports using multi-spectral imaging methods.
24. The method of claim 1 wherein one of the fluorophore tags uniquely associated with a preselected component or reaction comprises a ligand and a substance capable of binding specifically to the ligand, said ligand being labelled with a fluorophore and attached in a post-assay reaction, said substance being present on the solid support and attached prior to, concurrently with, or subsequent to the coupling of the component, whereby the labelled ligand when bound to the substance indicates the presence of the preselected component.
- 25
- 30

25. The method of claim 1 wherein the solid support is a polymeric bead, and spectral fluorescence data is collected by

- a) forming either a static planar array or a dynamic planar array of beads; and
- b) obtaining a fluorescence image for each bead.

5

26. The method of claim 25 wherein the planar array of beads is formed adjacent to the planar walls of a sandwich flow cell and controlled by light-controlled electrokinetic means.

10 27. The method of claim 25 wherein the planar array of beads is formed by using an apparatus capable of dynamically assembling and disassembling an array of beads at an interface between an electrode and an electrolyte solution, said apparatus comprising:

- i) an electrode, an electrolyte solution and an interface therebetween;
- ii) a plurality of beads located in said electrolyte solution;
- 15 iii) said electrode being patterned to include at least one area of modified electrochemical properties;
- iv) an illumination source which illuminates said electrode with a predetermined light pattern;
- v) an electric field generator which generates an electric field at said interface
- 20 to cause the assembly of an array of beads in accordance with the predetermined light pattern and the electrochemical properties of said electrode; and
- vi) an electric field removal unit which removes said electric field to cause the disassembly of said array of beads.

25

28. The method of claim 25 wherein spectral fluorescence data are collected for the bead array by initially forming a spatially encoded array of beads suspended at an interface between an electrode and an electrolyte solution, comprising the following steps:

- i) providing an electrode and an electrolyte solution;
- 30 ii) providing multiple types of particles, each type being stored in accordance with chemically or physically distinguishable particle characteristics in one

- of a plurality of reservoirs, each reservoir containing a plurality of like-type particles suspended in said electrolyte solution;
- iii) providing said reservoirs in the form of an $m \times n$ grid arrangement;
 - iv) patterning said electrode to define $m \times n$ compartments corresponding to said $m \times n$ grid of reservoirs;
 - v) depositing $m \times n$ droplets from said $m \times n$ reservoirs onto said corresponding $m \times n$ compartments, each said droplet originating from one of said reservoirs and remaining confined to one of said $m \times n$ compartments and each said droplet containing at least one particle;
 - vi) positioning a top electrode above said droplets so as to simultaneously contact each said droplet;
 - vii) generating an electric field between said top electrode and said $m \times n$ droplets;
 - viii) using said electric field to form a bead array in each of said $M \times N$ compartments, each said bead array remaining spatially confined to one of said $m \times n$ droplets;
 - ix) illuminating said $m \times n$ compartments on said patterned electrode with a predetermined light pattern to maintain the position of said bead arrays in accordance with said predetermined light pattern and the pattern of $m \times n$ compartments; and
 - x) positioning said top electrode closer to said electrode thereby fusing said $m \times n$ droplets into a continuous liquid phase, while maintaining each of said $m \times n$ bead arrays in one of the corresponding $m \times n$ compartments.

29. The method of claim 28, wherein said compartments are hydrophilic and the remainder of said electrode surface is hydrophobic.

30. The method of claim 1 wherein N is an integer from at least 2.

31. The method of claim 1 wherein N is an integer from at least 4 to about 12.

32. The method of claim 1 wherein M is an integer from at least 4 to about 10.

33. The method of claim 1 wherein from about 0.01 to about 0.05 molar equivalent of a spectrally distinguishable fluorophore tag is added in step c).
34. A compound having a selected property of interest as identified in accord with claim 1.
- 5 35. A chemical library prepared in accord with claim 1.
36. An apparatus for identifying a compound having a selected property of interest in a library of compounds, each of said compounds being bound to its respective solid support, and being produced by a unique reaction series composed of N reaction steps, wherein each said compound is prepared from a component, and N is an integer from at least 1 to about 100, said solid support being at least one particle array, said apparatus comprising:
- 10 a) an electrode and an electrolyte solution having an interface therebetween;
- 15 b) an electric field generator which generates an electric field at an interface between an electrode and an electrolyte solution;
- c) said electrode being patterned to modify the electrochemical properties of said electrode;
- d) an illuminating source which illuminates said interface with a predetermined light pattern to control the movement of said particles in accordance with said predetermined light pattern and the electrochemical properties of said electrode;
- 20 e) means for preparing said chemical library, which comprises:
- i) means for dividing a population of solid supports having at least one type of a first functional group at the surface of said solid support selected from the group consisting of CO_2H , OH , SH , NH_2 , NHR , CH_2Cl , CH_2Br and CHN_2 , wherein R is a linear C_1 - C_9 alkyl group, into M batches, wherein M is an integer from at least 2 to about 25;
- 25 ii) means for coupling the M batches of solid support in a set of at least one reaction respectively with M different components so as to form a bond with the solid support via said first functional group, said components being
- 30 independently optionally protected;

- iii) means for adding to each batch optionally prior to coupling step ii), concurrently therewith, or subsequently to step ii), from about 0.001 to about 0.5 molar equivalent of a spectrally distinguishable fluorophore tag associated uniquely with each component, said tag being identified by its characteristic excitation wavelength(s), emission wavelength(s), excited state lifetime and emission intensity, said tag being activated so as to be capable of forming either a direct bond to the surface of the solid support, optionally via a second functional group which is optionally protected and may be the same as or different from said first functional group, a direct bond to the component which if protected is priorly deprotected, or an indirect bond via a C₁-C_n linear or branched alkyl linker moiety which is optionally interrupted by at least one oxygen or nitrogen atom or a carbonyl, (C=O)NH or NH(C=O) moiety, wherein when said second functional group is protected, said second functional group is deprotected prior to forming said direct or indirect bond, said linker being bonded to said second functional group at the surface of the solid support;
- iv) means for optionally recombining all *M* batches, said recombining step optionally being subsequent to step v);
- v) means for performing an assay capable of indicating that any compound in the library either while bound to or cleaved from its solid support has the property of interest;
- vi) means for collecting spectral fluorescence data for each respective solid support so as to determine respective relative abundances of the fluorophore tags bound thereto;
- vii) means for analyzing the collected spectral fluorescence data by comparing the respective relative abundances of the fluorophore tags determined in step vi) so as to determine the unique reaction series for the compound, thereby identifying the compound having the property of interest.

37. A method of identifying a compound having a selected property of interest in a library of compounds, each of said compounds being bound to its respective solid support, and

being produced by a unique reaction series composed of N coupling or reaction steps, wherein each compound is prepared from components which are independently the same or different, and N is an integer from at least 1 to about 100, which comprises:

- 5 a) dividing a population of solid supports having at least one type of a first functional group at the surface of said solid support surface selected from the group consisting of CO_2H , OH , SH , NH_2 , NHR , CH_2Cl , CH_2Br and CHN_2 , wherein R is a linear $\text{C}_1\text{-C}_9$ alkyl group, into M batches, wherein M is an integer from at least 2 to about 50;
- 10 b) coupling the M batches of solid support in a set of at least one reaction respectively with M different initial components so as to form a bond with the solid support via said first functional group, said components being optionally protected at a group which is capable of participating in a further coupling step and orthogonally protected at non-participating group(s);
- 15 c) adding to each batch optionally prior to coupling step b), concurrently therewith, or subsequently to step b), from about 0.001 to about 0.5 molar equivalent of a spectrally distinguishable fluorophore tag associated uniquely with each initial component or a reaction of step b), said tag being identified by its characteristic excitation wavelength(s), emission wavelength(s), excited state lifetime and emission intensity, said tag being activated so as to be capable of forming either a direct bond to the surface of the solid support, optionally via a second functional group which is
20 optionally protected and may be the same as or different from said first functional group, a direct bond to the initial component which if protected is priorly deprotected, or an indirect bond via a $\text{C}_1\text{-C}_9$ linear or branched alkyl linker moiety which is optionally interrupted by at least one oxygen or nitrogen atom or a carbonyl, $(\text{C}=\text{O})\text{NH}$ or $\text{NH}(\text{C}=\text{O})$ moiety, said linker being bonded to said first functional
25 group at the surface of the solid support, wherein when said second functional group is protected, said second functional group is deprotected prior to forming said direct or indirect bond;
- 30 d) optionally recombining all M batches and cleaving any protecting group present at a group which is to participate in a further coupling step, said recombining step optionally being subsequent to step e);
- e) iteratively $N - 1$ times

- (1) dividing a population of solid supports into $M(N)$ batches, wherein $M(N)$ depends on N and is an integer from at least 2 to about 25;
 - (2) coupling the $M(N)$ batches of solid support respectively with $M(N)$ different components, wherein $M(N)$ is the number of batches during the N th step, said components being optionally protected at a group which is capable of participating in a further coupling step and orthogonally protected at a non-participating group(s);
 - (3) adding to each batch optionally prior to coupling step (2), concurrently therewith, or subsequently to step (2), from about 0.001 to about 0.5 molar equivalent of a spectrally distinguishable fluorophore tag associated uniquely with each component in the N th coupling step (2), said tag being identified by its characteristic excitation wavelength(s), emission wavelength(s), excited state lifetime and emission intensity, said tag being activated so as to form either a direct bond to the surface of the solid support, optionally via a functional group which is optionally protected and may be the same as or different from the functional group bonded to the component, a direct bond to the $(N - 1)$ th component, or an indirect bond via a C_1 - C_9 linear or branched alkyl linker moiety which is optionally interrupted by at least one oxygen or nitrogen atom or a carbonyl, $(C=O)NH$ or $NH(C=O)$ moiety, said linker being bonded to the functional group at the surface of the solid support, wherein when said functional group is protected, said function group is deprotected prior to forming said direct or indirect bond; and
 - (4) recombining all $M(N)$ batches and cleaving any protecting group present at a group which is to participate in a further coupling step;
- so as to form a compound having N components;
- f) performing an assay capable of indicating that any compound in the library either while bound to or cleaved from its solid support has the property of interest;
 - g) collecting spectral fluorescence data for each respective solid support so as to determine respective relative abundances of the fluorophore tags bound thereto;
 - h) analyzing the collected spectral fluorescence data by comparing the respective relative abundances of the fluorophore tags determined in step g) so as to determine

the *N* components coupled in the unique reaction series for the compound, thereby identifying the compound having the property of interest.

38. The method of claim 37 wherein the components are independently selected from the group consisting of an amino acid, a hydroxyacid, an oligoamino acid, an oligopeptide, a saccharide, an oligosaccharide, a diamine, a dicarboxylic acid, an amine-substituted sulfhydryl, a sulfhydryl-substituted carboxylic acid, an alicyclic, an aliphatic, a heteroaliphatic, an aromatic and a heterocyclic moiety.
39. The method of claim 38 wherein the saccharide is a suitably protected D- or L-glucose, fructose, inositol, mannose, ribose, deoxyribose or fucose.
40. The method of claim 38 wherein the oligopeptide is an enkephalin, a vasopressin, an oxytocin, an atrial natrietic factor, a bombesin, a calcitonin, a parathyroid hormone, a neuropeptide Y or an endorphin, or a fragment thereof comprising at least 20% of the components thereof, or an isosteric analogue thereof wherein independently NH(C=O) is replaced by NH(C=O)NH, NH(C=O)O, CH₂(C=O) or CH₂O; NH₂ is replaced by OH, SH, NO₂ or CH₃; CH₃S is replaced by CH₃I (S=O) or CH₃CH₃; indole is replaced by naphthyl or indene; hydroxyphenyl is replaced by tolyl, mercaptophenyl or nitrophenyl; and/or hydrogen in an aromatic ring is replaced by chlorine, bromine, iodine or fluorine; C₁-C₄ alkyl is replaced by partially or fully fluorinated C₁-C₄ alkyl.
41. The method of claim 38 wherein the oligopeptide is an ACE inhibitor, an HIV protease inhibitor, a cytolytic oligopeptide or an antibacterial oligopeptide.
42. The method of claim 38 wherein the aromatic is para-disubstituted benzene, biphenyl, naphthalene or anthracene, optionally substituted by linear or branched chain lower alkyl, alkoxy, halogen, hydroxy, cyano or nitro.
43. The method of claim 38 wherein the heterocyclic moiety is 2,6-disubstituted pyridine, thiophene, 3,7-disubstituted N-protected indole or 2,4-disubstituted imidazole, optionally

substituted by linear or branched chain lower alkyl, alkoxy, halogen, hydroxy, cyano or nitro.

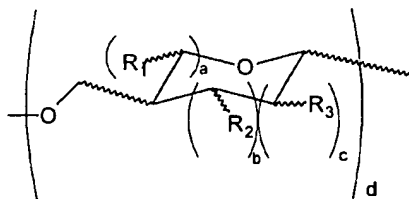
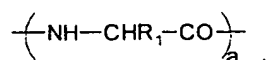
44. The method of claim 37 wherein the solid support is a microsphere, a bead, a resin or a particle, and is composed of a material selected from the group consisting of polystyrene, polyethylene, cellulose, polyacrylate, polyacrylamide, or preferably a silica or glass bead.
45. The method of claim 37 wherein the solid support may be chemically modified by covalent attachment of an optionally substituted oligo- or polyethyleneglycol, optionally terminated by an amine substituted by hydroxymethyl, chloromethyl, aminomethyl or mercaptomethyl, wherein the functional group at the surface of the solid support is hydroxy, chlorine, NH_2 or SH , respectively.
46. The method of claim 37 wherein the assay is performed while the compound is attached to its solid support.
47. The method of claim 37 wherein the assay is performed while the compound is cleaved from its solid support under conditions whereby the compound remains adsorbed to the solid support.
48. The method of claim 37 wherein when the property of interest is binding affinity of a compound to a receptor, the assay is performed by determining a physical response to binding by
- first admixing with the library of compounds a solution of a labelled receptor so as to result in labelled receptor bound to at least one compound bound to a solid support;
 - removing the solution from the solid support;
 - optionally washing the solid support so as substantially to remove non-bound labelled receptor; and
 - measuring the physical response due to bound labelled receptor so as to determine the binding affinity.

49. The method of claim 48 wherein receptor is labelled by a fluorescent dye, a colored dye, radioisotope or an enzyme.

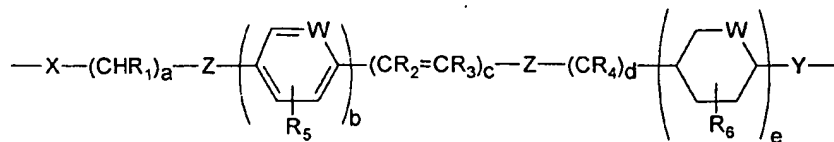
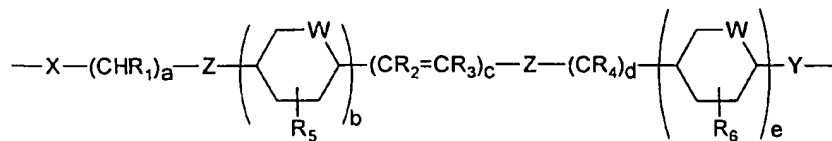
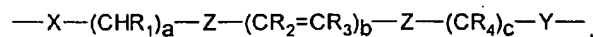
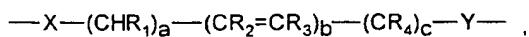
50. The method of claim 48 wherein the physical response is fluorescence emission, optical absorption or radioactivity.

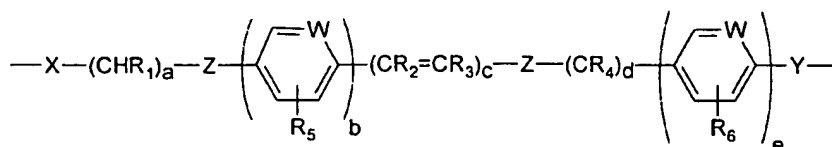
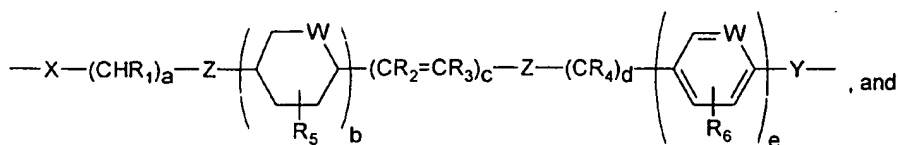
51. The method of claim 37 wherein the components have a structure independently selected from the group consisting of:

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wherein R_1 , R_2 , R_3 , R_4 , R_5 , and R_6 are independently methyl, ethyl, linear or branched chain C_3 - C_9 alkyl, phenyl, benzyl, benzoyl, cyano, nitro, halo, formyl, acetyl and linear or branched chain C_3 - C_9 acyl; wherein a , b , c , d and e are independently 0, 1, 2 or 3; wherein X , Y and Z are independently NH, O, S, S(=O), CO, (CO)O, O(CO), NH(C=O) or (C=O)NH; and wherein W is independently N, O or S.

52. The method of claim 37 wherein at least one component is an amino acid, and the optionally protected group which is to participate in a further coupling step is nitrogen and is protected by a protecting group selected from the group consisting of N- α -fluorenylmethyloxycarbonyl, t-butyloxycarbonyl, t-amylloxycarbonyl, (trialkylsilyl)ethyloxycarbonyl, t-butyl and benzyl.
53. The method of claim 37 wherein the fluorophore tag represents a bit of a binary code, and comprises zero, one or more than one fluorescent dye, multiple fluorescent dyes, said dye(s) being spectrally distinguishable by excitation wavelength, emission wavelength, excited-state lifetime or emission intensity.
54. The method of claim 37 wherein the assay is performed by cleaving compounds from the solid support while permitting diffusion through solution and binding to receptors, said receptors being arranged in proximity to each solid support.
55. The method of claim 37 wherein the fluorescence data are collected from multiple solid supports using multi-spectral imaging methods.

56. The method of claim 55 wherein emission intensity is distinguished by adjusting the ratio of the relative quantities of each fluorophore.
57. The method of claim 56 wherein the ratio is 1:1, 2:1, 3:1 or 4:1.
58. The method of claim 37 wherein the fluorophore tags are dyes selected from the group consisting of Cy2TM, Cy3TM, Cy3.5TM, Cy5TM, Cy5.5TM and Cy7TM, and are activated as active esters selected from the group consisting of succinimidyl, sulfosuccinimidyl, p-nitrophenol, pentafluorophenol, HOBt and N-hydroxypiperidyl.
59. The method of claim 37 wherein the fluorophore tags are dyes selected from the group consisting of BODIPY FL-XTM, BODIPY R6G-XTM, BODIPY TMR-XTM, BODIPY TR-XTM, BODIPY 630/650-XTM and BODIPY 650/655-XTM, and are activated as active esters selected from the group consisting of succinimidyl, sulfosuccinimidyl, p-nitrophenol, pentafluorophenol, HOBt and N-hydroxypiperidyl.
60. The method of claim 37 wherein the fluorophore tags are dyes selected from the group consisting of Alexa 488TM, Alexa 532TM, Alexa 546TM, Alexa 568TM and Alexa 594TM, and are activated as active esters selected from the group consisting of succinimidyl, sulfosuccinimidyl, p-nitrophenol, pentafluorophenol, HOBt and N-hydroxypiperidyl.
61. The method of claim 37 wherein one of the fluorophore tags uniquely associated with a preselected component or reaction comprises a ligand and a substance capable of binding specifically to the ligand, said ligand being labelled with a fluorophore and attached in a post-assay reaction, said substance being present on the solid support and attached prior to, concurrently with, or subsequent to the coupling of the component, whereby the labelled ligand when bound to the substance indicates the presence of the preselected component.
62. The method of claim 37 wherein the solid support is a bead, and spectral fluorescence data are collected by

- a) forming either a static planar array or a dynamic planar array of beads; and
 - b) obtaining a fluorescence image for at least one bead.
63. The method of claim 62 wherein the planar array of beads is formed adjacent to the planar walls of a sandwich flow cell and controlled by light-controlled electrokinetic means.
64. The method of claim 62 wherein the dynamic planar array of beads is formed by using an apparatus capable of dynamically assembling and disassembling an array of beads at an interface between an electrode and an electrolyte solution, said apparatus comprising:
- i) an electrode, an electrolyte solution and an interface therebetween;
 - ii) a plurality of beads located in said electrolyte solution;
 - iii) said electrode being patterned to include at least one area of modified electrochemical properties;
 - iv) an illumination source which illuminates said electrode with a predetermined light pattern;
 - v) an electric field generator which generates an electric field at said interface to cause the assembly of an array of beads in accordance with the predetermined light pattern and the electrochemical properties of said electrode; and
 - vi) an electric field removal unit which removes said electric field to cause the disassembly of said array of beads.
65. The method of claim 62 wherein spectral fluorescence data are collected for the bead array by initially forming a spatially encoded array of beads suspended at an interface between an electrode and an electrolyte solution, comprising the following steps:
- i) providing an electrode and an electrolyte solution;
 - ii) providing multiple types of particles, each type being stored in accordance with chemically or physically distinguishable particle characteristics in one of a plurality of reservoirs, each reservoir containing a plurality of like-type particles suspended in said electrolyte solution;

- iii) providing said reservoirs in the form of an $m \times n$ grid arrangement;
- iv) patterning said electrode to define $m \times n$ compartments corresponding to said $m \times n$ grid of reservoirs;
- v) depositing $m \times n$ droplets from said $m \times n$ reservoirs onto said corresponding $m \times n$ compartments, each said droplet originating from one of said reservoirs and remaining confined to one of said $m \times n$ compartments and each said droplet containing at least one particle;
- vi) positioning a top electrode above said droplets so as to simultaneously contact each said droplet;
- vii) generating an electric field between said top electrode and said $m \times n$ droplets;
- viii) using said electric field to form a bead array in each of said $m \times n$ compartments, each said bead array remaining spatially confined to one of said $m \times n$ droplets;
- ix) illuminating said $m \times n$ compartments on said patterned electrode with a predetermined light pattern to maintain the position of said bead arrays in accordance with said predetermined light pattern and the pattern of $m \times n$ compartments; and
- x) positioning said top electrode closer to said electrode thereby fusing said $m \times n$ droplets into a continuous liquid phase, while maintaining each of said $m \times n$ bead arrays in one of the corresponding $m \times n$ compartments.

66. The method of claim 65 wherein said compartments are hydrophilic and the remainder of said electrode surface is hydrophobic.

67. The method of claim 37 wherein N is an integer from at least 3 to about 12.

68. The method of claim 37 wherein M and $M(N)$ are independently an integer from at least 4 to about 12.

69. The method of claim 37 wherein from about 0.01 to about 0.05 molar equivalent of a spectrally distinguishable fluorophore tag is added in step c).

70. A compound having a selected property of interest as identified in accord with claim 37.

71. A chemical library prepared in accord with claim 37.

- 5 72. An apparatus for identifying a compound having a selected property of interest in a library of compounds, each of said compounds being bound to its respective solid support, and being produced by a unique reaction series composed of N coupling and reaction steps, wherein each said compound is prepared from a set of components which are independently the same or different, and N is an integer from at least 1 to about 100, said solid support being at least one particle array, said apparatus comprising:
- 10 a) an electrode and an electrolyte solution having an interface therebetween;
- b) an electric field generator which generates an electric field at an interface between an electrode and an electrolyte solution;
- c) said electrode being patterned to modify the electrochemical properties of said electrode;
- 15 d) an illuminating source which illuminates said interface with a predetermined light pattern to control the movement of said particles in accordance with said predetermined light pattern and the electrochemical properties of said electrode;
- e) means for preparing said chemical library, which comprises:
- 20 i) means for dividing a population of solid supports having at least one type of a first functional group at the surface of said solid support selected from the group consisting of CO_2H , OH , SH , NH_2 , NHR , CH_2Cl , CH_2Br and CHN_2 , wherein R is a linear $\text{C}_1\text{-C}_9$ alkyl group, into M batches, wherein M is an integer from at least 2 to about 50;
- 25 ii) means for coupling the M batches of solid support in a set of at least one reaction respectively with M different initial components so as to form a bond with the solid support via said first functional group, said components being optionally protected at a group which is to participate in a further coupling step and orthogonally protected at non-participating group(s);
- 30 iii) means for adding to each batch optionally prior to coupling step ii), concurrently therewith, or subsequently to step ii), from about 0.001 to about

0.5 molar equivalent of a spectrally distinguishable fluorophore tag associated uniquely with each initial component, said tag being identified by its characteristic excitation wavelength(s), emission wavelength(s), excited state lifetime and emission intensity, said tag being activated so as to be capable of forming either a direct bond to the surface of the solid support, optionally via a second functional group which is optionally protected and may be the same as or different from said first functional group bonded to the component, or an indirect bond via a C_1 - C_n linear or branched alkyl linker moiety which is optionally interrupted by at least one oxygen or nitrogen atom or a carbonyl, $(C=O)NH$ or $NH(C=O)$ moiety, said linker being bonded to said second functional group at the surface of the solid support, wherein when said second functional group is protected, said second functional group is deprotected prior to forming said direct or indirect bond;

iv) means for optionally recombining all M batches and cleaving any protecting group present at a group which is to participate in a further coupling step;

v) means for iteratively $N - 1$ times

(1) dividing a population of solid supports into $M(N)$ batches, wherein $M(N)$ depends on N and is an integer from at least 2 to about 25;

(2) coupling the $M(N)$ batches of solid supports respectively with $M(N)$ different components, wherein $M(N)$ is the number of batches during the N th step, said components being optionally protected at a group which is capable of participating in a further coupling step and orthogonally protected at a non-participating group(s);

(3) adding to each batch optionally prior to coupling step (2), concurrently therewith, or subsequently to step (2), from about 0.001 to about 0.1 molar equivalent of a different spectrally distinguishable fluorophore tag associated uniquely with each component during the N th coupling step (2), said tag being uniquely identified by its excitation wavelength, emission wavelength, excited-state lifetime or emission intensity, whereby said tag is activated so as to be capable of forming either a direct bond to the solid support, optionally via an

*N*th functional group which is optionally protected and may be the same as or different from the first functional group, or an indirect bond thereto via a C₁-C₉ linear or branched alkyl linker moiety which is optionally interrupted by at least one oxygen or nitrogen atom or a carbonyl or NH(C=O) moiety, or a direct bond to the (*N*-1)th component which if protected is priorly deprotected, said tag or linker being bound via the group which is to participate in a further coupling step, wherein when said *N*th functional group is protected, said *N*th functional group is deprotected prior to forming said direct or indirect bond; and

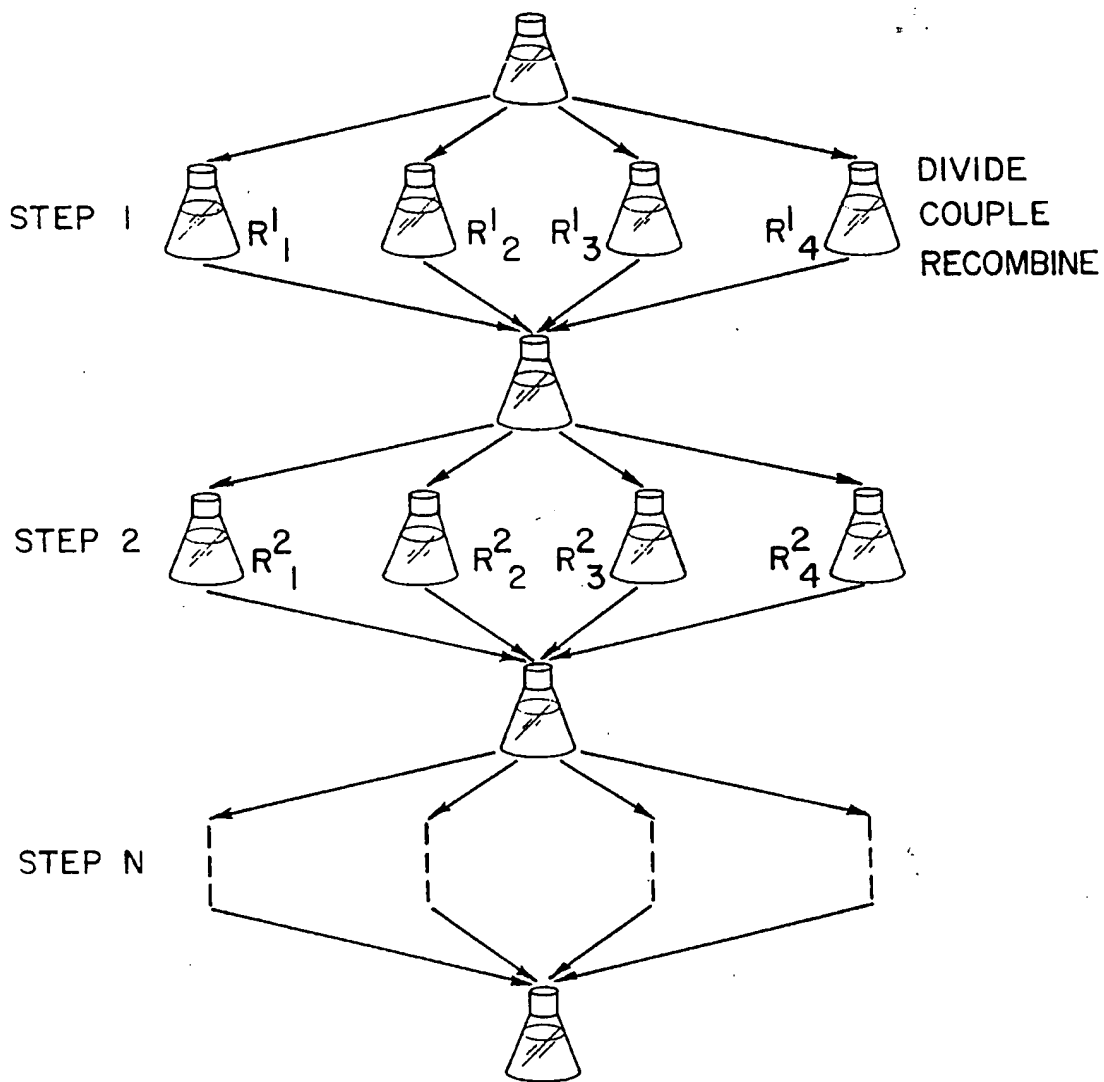
(4) recombining all *M*(*N*) batches and cleaving the protecting group present if present at a group which is to participate in a further coupling step;

so as to form a compound having *N* components;

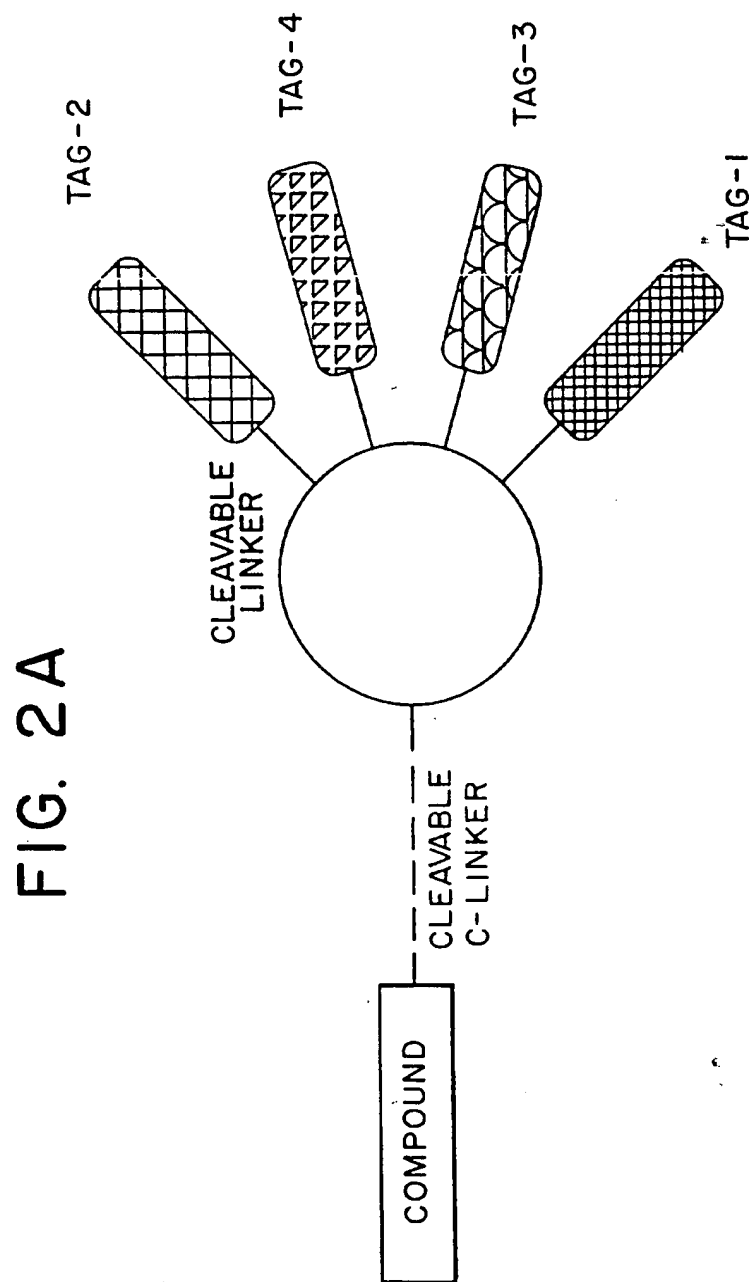
- vi) means for performing an assay capable of indicating that any compound in the library either while bound to or cleaved from its solid support has the property of interest;
- vii) means for collecting spectral fluorescence data for each respective solid support so as to determine respective relative abundances of the fluorophore tags bound thereto;
- viii) means for analyzing the collected spectral fluorescence data by comparing the respective relative abundances of the fluorophore tags determined in step vii) so as to determine the *N* components coupled in the unique reaction series for the compound, thereby identifying the compound having the selected property of interest.

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FIG. 1



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FIG. 2B

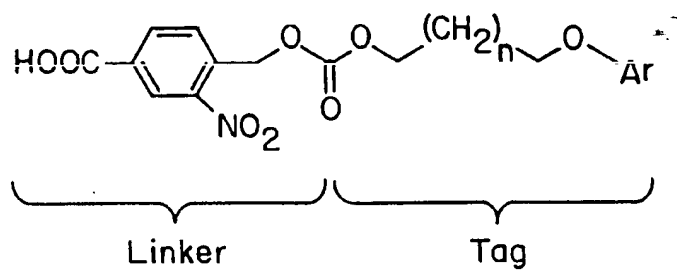
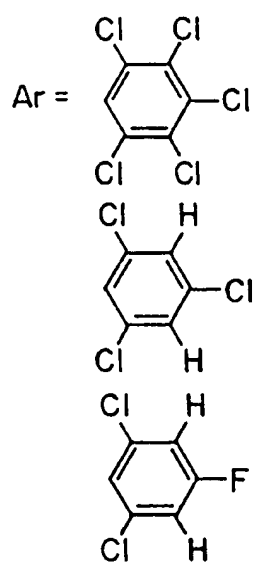


FIG. 2C



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FIG. 3A

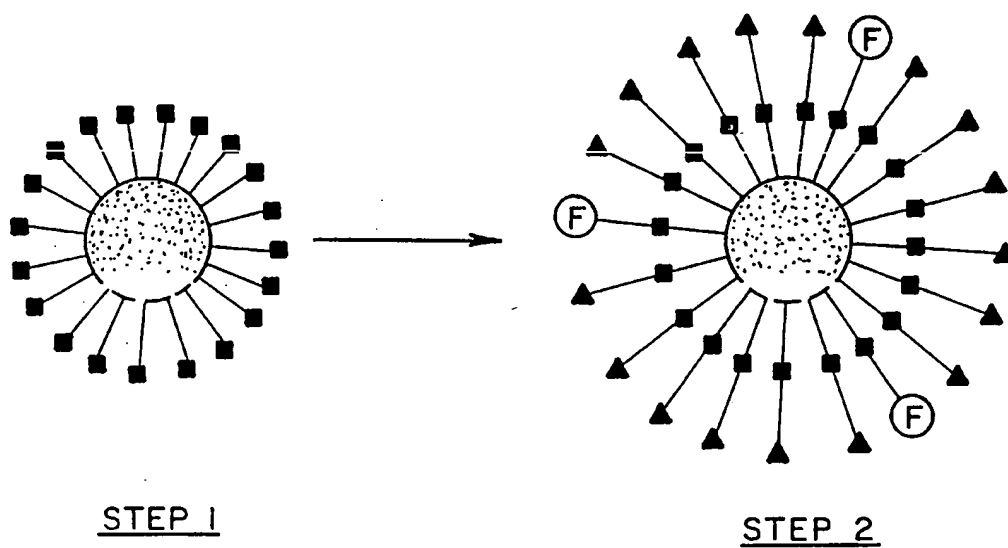
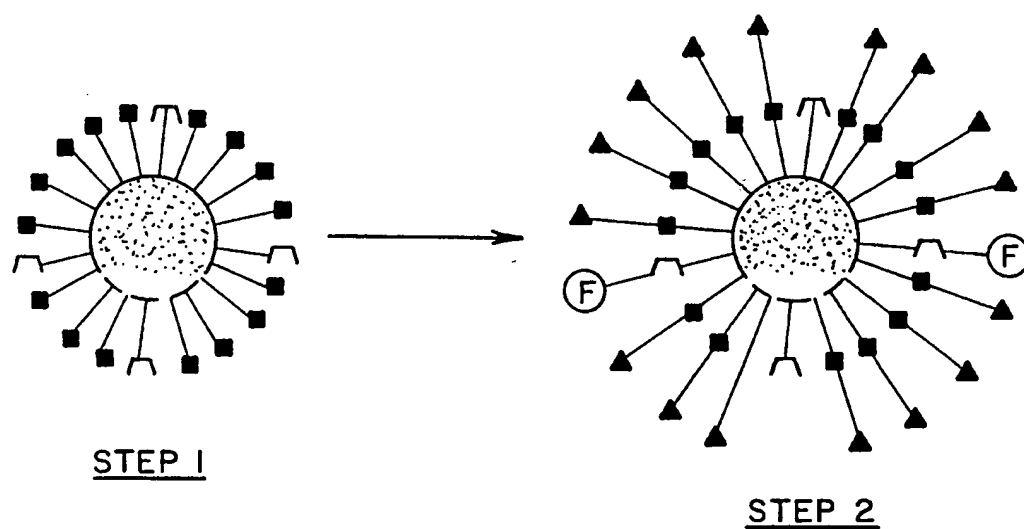
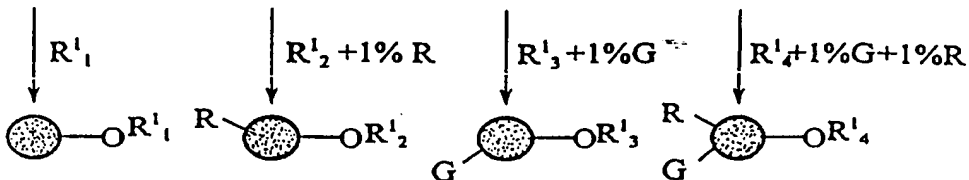
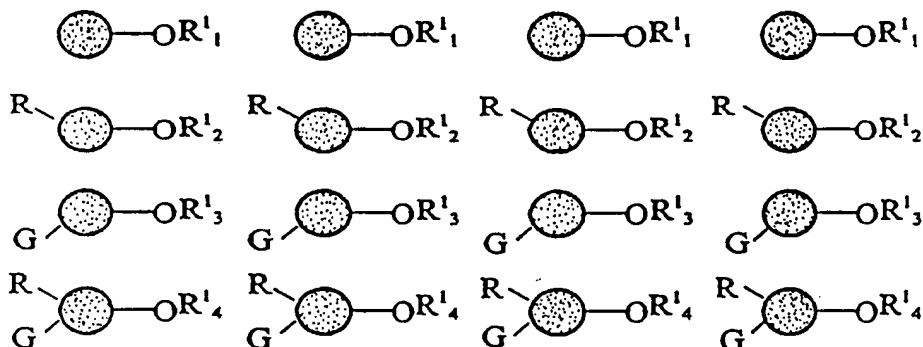
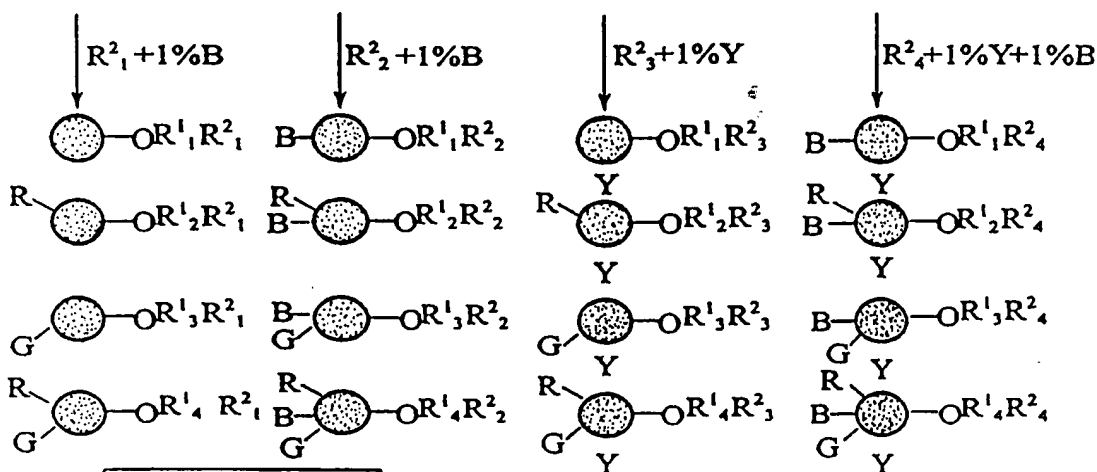


FIG. 3B



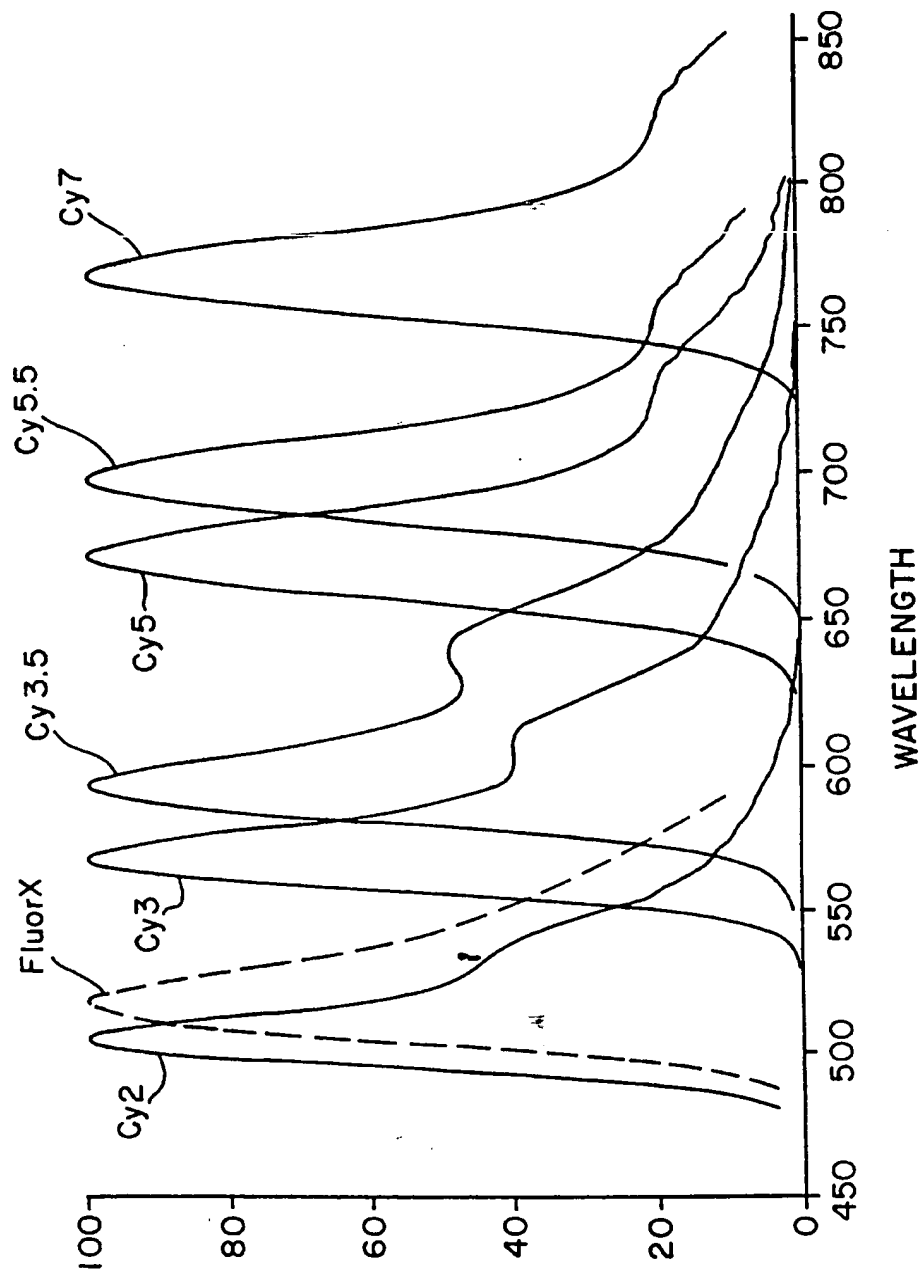
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FIG. 4

Step 1: **Divide beads into 4 groups****Couple with first set of amino acids and color labels****Recombine beads**Step 2: **Divide beads into 4 groups****Couple with second set of amino acids and color labels****Recombine beads**

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FIG. 5A



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FIG. 5B

| Fluorophore | Color of Fluorescence | Absorption Maximum (nm) | Fluorescence Maximum (nm) | Extinction Coefficient ($M^{-1}cm^{-1}$) | Quantum Yield for Protein Conjugates | Formula Weight (daltons) | |
|-------------|-----------------------|-------------------------|---------------------------|--|--------------------------------------|--------------------------|----------|
| | | | | | | Bisfnc. | Monofnc. |
| Cy2 | Green | 489nm | 506nm | ~150,000 | >0.12 | 896.95 | 713.78 |
| Cy3 | Orange | 550nm | 570nm | 150,000 | >0.15 | 949.11 | 765.95 |
| Cy3.5 | Scarlet | 581nm | 596nm | 150,000 | >0.15 | 1285.54 | 1102.37 |
| Cy5 | Far-Red | 649nm | 670nm | 250,000 | >0.28 | 975.15 | 791.99 |
| Cy5.5 | Near IR | 675nm | 694nm | 250,000 | >0.28 | 1311.58 | 1128.41 |
| Cy7 | Near IR | 743nm | 767nm | ~250,000 | ~0.28 | 1001.19 | 818.02 |
| FluorX | Green | 494nm | 520nm | 68,000 | 0.3 | | 586.60 |

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FIG. 6A

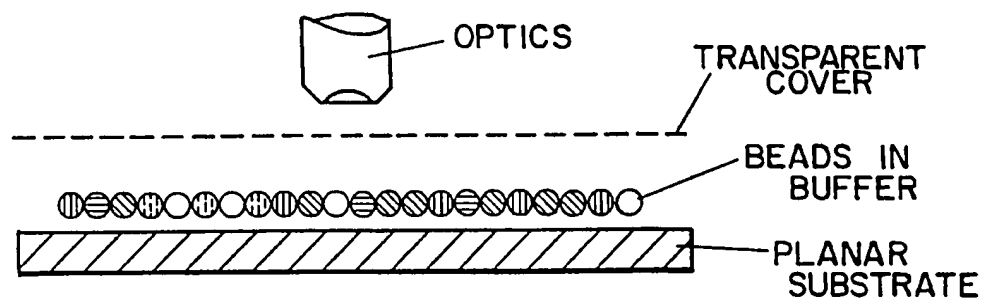
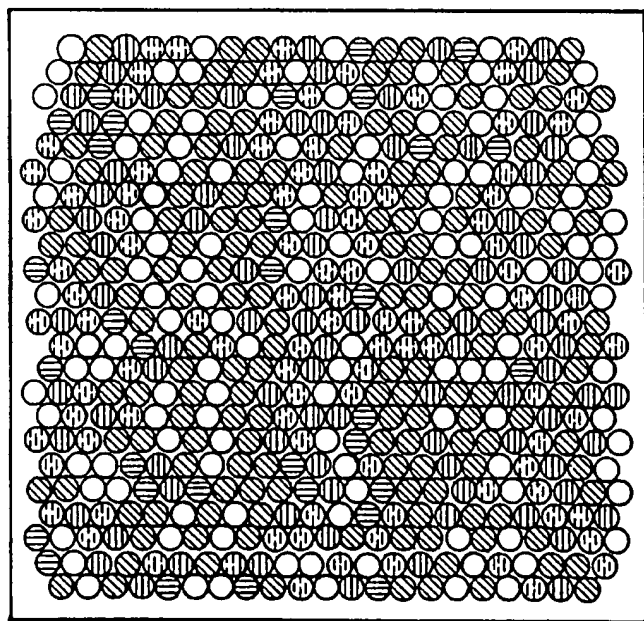


FIG. 6B



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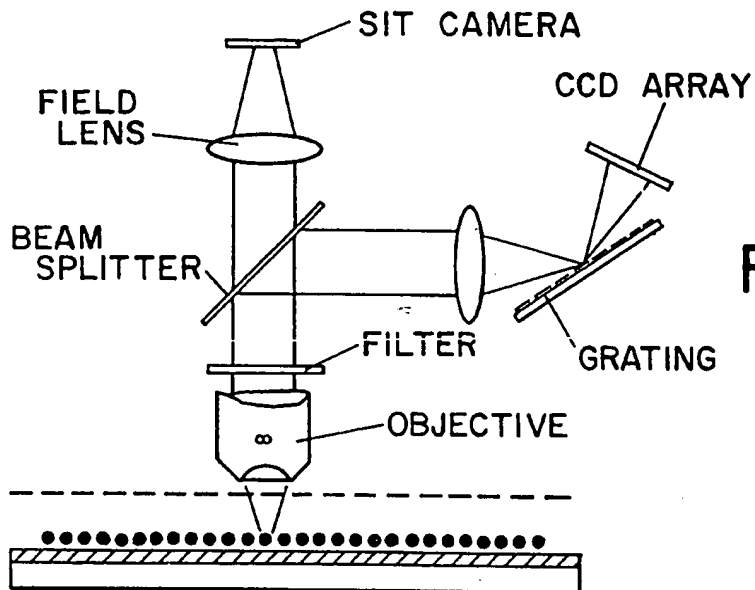


FIG. 7

FIG. 8A

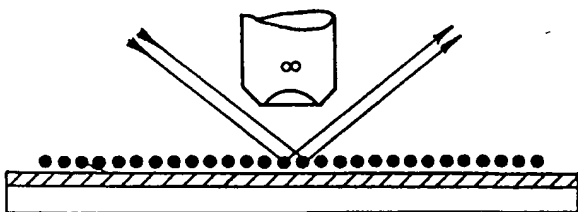
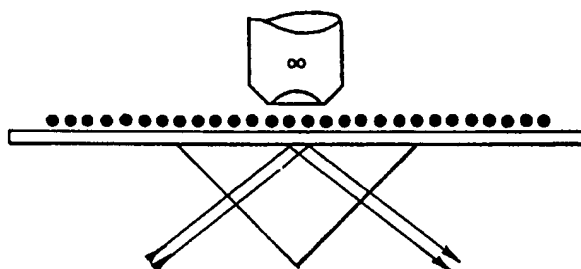


FIG. 8B

FIG. 8C

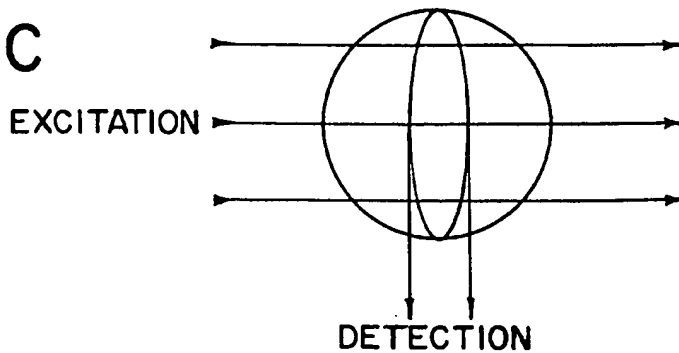
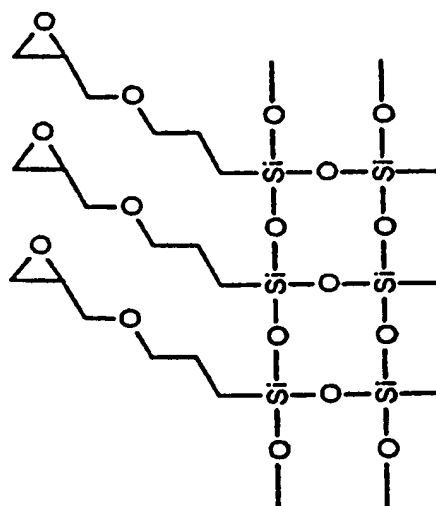
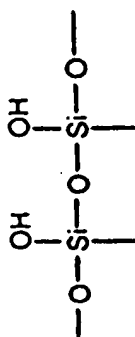
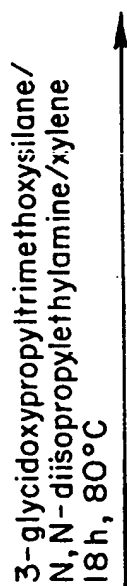


FIG. 9A



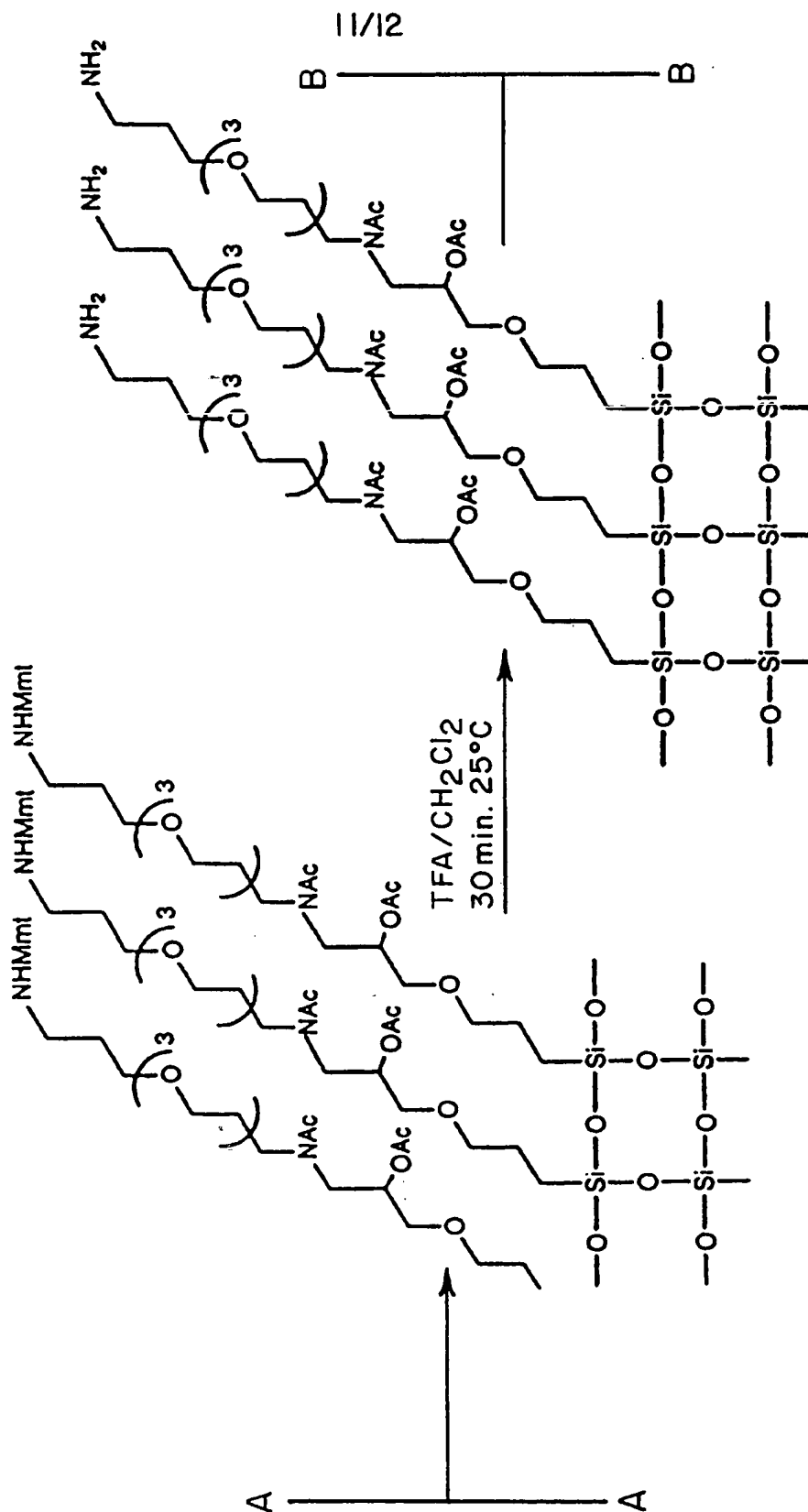
A

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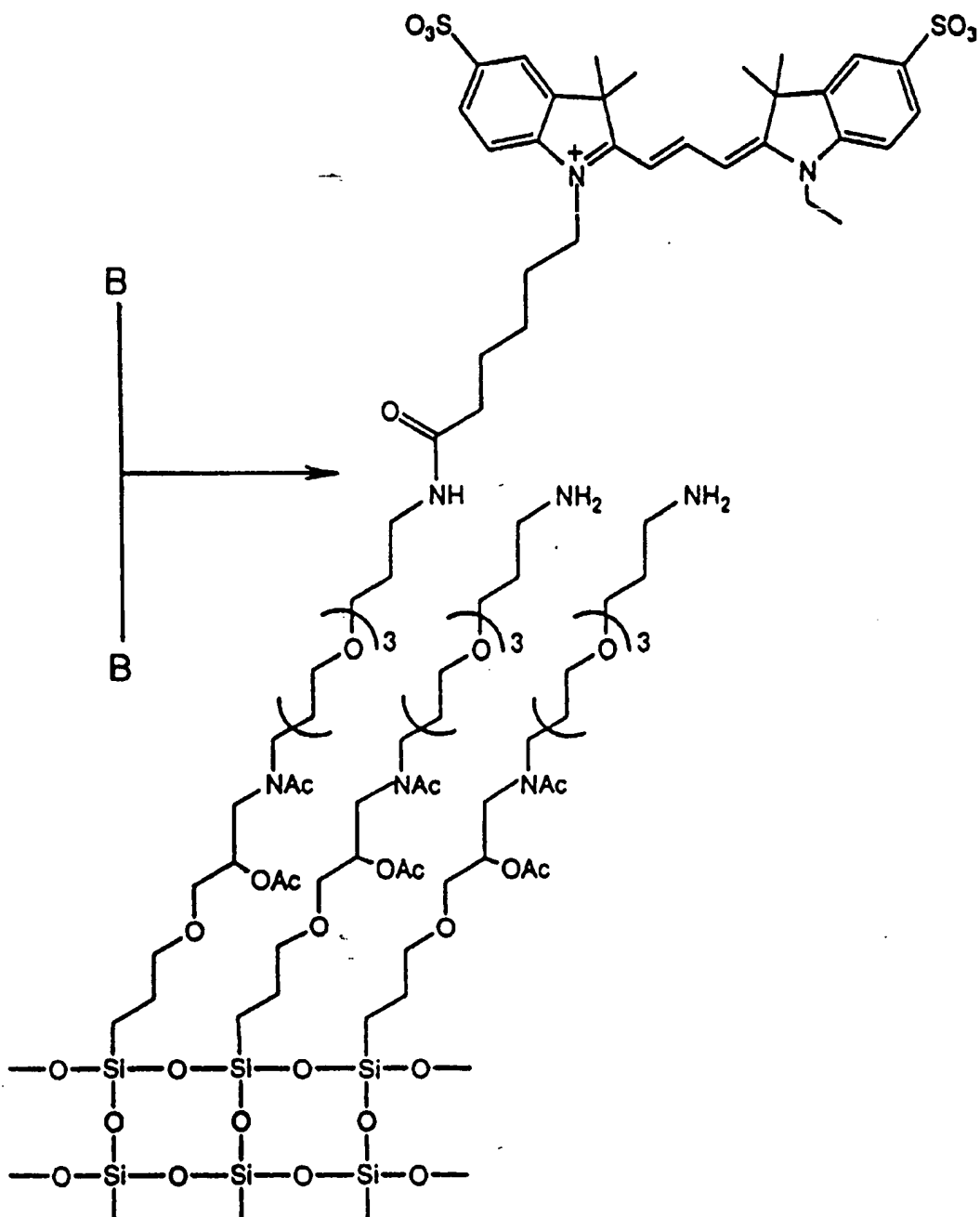
- 1) MMT-1,13-trioxotridecadiamine/NaH/CH₂Cl₂
18 h, 40°C
- 2) acetic anhydride/2,6-lutidine/N-methylimidazole/THF
1 h, 25°C

FIG. 9B



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FIG. 9C



SUBSTITUTE SHEET (RULE 26)

INTERNATIONAL SEARCH REPORT

 International application No.
PCT/US98/10719

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : Please See Extra Sheet.

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/4, 6, 7.1, 7.8, 808, 968, 973; 436/501, 518, 546, 164, 172, 800, 805, 807

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
None

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, CASONLINE

search terms: combinatorial library, compound, tag, fluorescent, property of interest

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| A/T,E | US 5,770,455 A (CARGILL et al) 23 June 1998, see entire document. | 1-72 |
| X | US 5,565,324 A (STILL et al) 15 October 1996, see abstract, col. 5, line 5 to col. 8, line 14, col. 11, lines 10-64, col. 15, line 8 to col. 9, line 10, col. 27, lines 15-27. | 1-72 |
| X | WO 93/06121 A1 (AFFYMAX TECHNOLOGIES N.V.) 01 April 1993, page 3, line 35 to page 5, line 3, page 7, lines 21-33, page 15, line 27 to page 29, line 25, Example I, Example 5. | 1-72 |

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

| | | |
|---|--|--|
| * Special categories of cited documents: *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed | | *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art *A* document member of the same patent family |
|---|--|--|

Date of the actual completion of the international search

11 AUGUST 1998

Date of mailing of the international search report

08 SEP 1998

 Name and mailing address of the ISA/US
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Authorized officer

P. Achutamurthy
 P. ACHUTAMURTHY

Telephone No. (703) 308-1235

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/10719

| C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT | | |
|---|--|-----------------------|
| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| Y | GORDON et al. Applications of Combinatorial Technologies to Drug Discovery. 2. Combinatorial Organic Synthesis, Library Screening Strategies, and Future Directions. J. Med. Chem. 13 May 1994, Volume 37, No. 10, pages 1385-1401, see entire document. | 1-72 |
| A | NIELSEN et al. Solid-Phase Synthesis of Small Molecule Libraries using Double Combinatorial Chemistry. Tetrahedron Letters. 1997, Volume 38, No. 11, pages 2011-2014, see entire document. | 1-72 |

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/10719

A. CLASSIFICATION OF SUBJECT MATTER:

IPC (6):

C12Q 1/00, 1/68; G01N 21/00, 21/76, 33/53, 33.533, 33/543, 33/566

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

435/4, 6, 7.1, 7.8, 808, 968, 973; 436/501, 518, 546, 164, 172, 800, 805, 807